University Senate Agenda:
02 April 2015

• **Announcements**
• Minutes from 05 March 2015 meeting
• Question Period
• Consent Calendar
  – None submitted
• Business Calendar (cont.)
Announcements

• Search Updates
  – *Steven Light; Associate Vice President for Academic Affairs*
  – School of Graduate Studies Dean
  – Ombuds
  – Vice President for Research and Economic Development
  – Essential Studies Director

• Status of faculty travel and research funding
  – *SEC: Dana Harsell, Kanishka Marasinghe, Becky Weaver-Hightower*

• SOAR Update
  – *Coordinating Committee members; Sharley Kurtz & Ryan Zerr*

• Fate of Recent Senate Actions
  Approved by President Kelley
  – Budget & Honors Committee Charge (05 March Senate)

• Legislative Affairs Committee (LAC) – Bill Tracker
Announcements

• Search Updates
  – *Steven Light; Associate Vice President for Academic Affairs*
  – School of Graduate Studies Dean
  – Ombuds
  – Vice President for Research and Economic Development
  – Essential Studies Director

• Status of faculty travel and research funding
  – *SEC: Dana Harsell, Kanishka Marasinghe, Becky Weaver-Hightower*

• SOAR Update
  – *Coordinating Committee members; Sharley Kurtz & Ryan Zerr*

• Fate of Recent Senate Actions
  Approved by President Kelley
  – Budget & Honors Committee Charge (05 March Senate)

• Legislative Affairs Committee (LAC) – Bill Tracker
Status of faculty travel and research funding

Report from SEC Subcommittee:

1. Survey roll-out next week
2. Ongoing research to assess UND’s internal funding sources
3. Ongoing request for comparative data from benchmark peers
Announcements

• Search Updates
  – *Steven Light; Associate Vice President for Academic Affairs*
  – School of Graduate Studies Dean
  – Ombuds
  – Vice President for Research and Economic Development
  – Essential Studies Director

• Status of faculty travel and research funding
  – *SEC: Dana Harsell, Kanishka Marasinghe, Becky Weaver-Hightower*

• SOAR Update
  – *Coordinating Committee members; Sharley Kurtz & Ryan Zerr*

• Fate of Recent Senate Actions
  Approved by President Kelley
  – Budget & Honors Committee Charge (05 March Senate)

• Legislative Affairs Committee (LAC) – Bill Tracker
Overview of UND’s “Strategic Alignment & Optimization Review” (SOAR)

A Collaborative Process to Help Us Understand Who We Are, Who We Want to Be, and How We’ll Get There

Melissa Gjellstad, Sharley Kurtz, Ryan Zerr, Steve Light
Alice Brekke, Tom DiLorenzo
March 2015
What is UND’s “Strategic Alignment & Optimization Review” (SOAR)?

• Collaborative governance initiative to identify, develop, invest in key areas of strength & distinction as North Dakota's premier student-centered, research-intensive public university

• Help us understand
  – Who we are
  – Who we want to be
  – How we will get there
Why is UND Making it a Priority?

• **Snapshot** at key time (new budget model) based on qualitative & quantitative data, peer evaluation

• Creates **common language** for dialogue, data-based prioritization & decision making

• Becomes **iterated process** to inform resource investment – time, talent, space, fiscal
Three-Step Process & Workflow

Authors (Department Heads) (spring)
- Data & survey questions provided
- Rubric developed, normed
- Dialogue with Approvers
- Electronic submission of surveys via Bb

Approvers (Deans or Vice Presidents) (summer)
- Receive electronic submission & data files
- Review & submit Authors’ program surveys

Task Forces (fall)
- Receive approved program surveys
- Evaluate programs using normed rubric, weighted criteria
Support Services Authoring, Program Evaluation Criteria & Rubric

Evaluation Criteria

- History & Development (5%)
- Impact & Essentaility (25%)
- Internal Demand (10%)
- External Demand (10%)
- Quality (20%)
- Revenue Generated & Cost Effectiveness (10%)
- Opportunity Analysis (20%)
Evaluation Criteria

- History & Development (5%)
- Internal Demand (10%)
- External Demand (10%)
- Program Inputs (10%)
- Outcomes Quality (15%)
- Scope, Size, & Productivity (10%)
- Revenue Generated & Cost Effectiveness (10%)
- Impact & Essentiality (15%)
- Opportunity Analysis (15%)
SOAR Dashboard (2013-14 data)
Sample Task Force Evaluation Output (Academic)
What Happens with the Info?

- Authoring process leads to **familiarity** with data, **conversations** about now & future, including alignment with new, incentive-based budget model

- Generates new data, data in one place
  - E.g., tuition revenue, grants & contracts, retention rates, SCH by program, staffing & salaries

- **Big picture**: Helps to align & advance unit, university mission & vision, set & advance priorities, generate & allocate revenue, maximize efficiency & effectiveness
For More Information

- Key link to page with author surveys, FAQs, and feedback link: http://und.edu/provost/soar/key-updates-documents.cfm

- Home page to access iDashboards, at “UND Internal” on right-hand side of the page: http://und.edu/research/institutional-research/und-dashboards.cfm

- Questions? Feel free to use Feedback button (VPAA page), or to contact Steve Light, Associate Vice President for Academic Affairs, at steven.light@und.edu or 777-0960
Announcements

• Search Updates
  – *Steven Light; Associate Vice President for Academic Affairs*
  – School of Graduate Studies Dean
  – Ombuds
  – Vice President for Research and Economic Development
  – Essential Studies Director

• Status of faculty travel and research funding
  – *SEC: Dana Harsell, Kanishka Marasinghe, Becky Weaver-Hightower*

• SOAR Update
  – *Coordinating Committee members; Sharley Kurtz & Ryan Zerr*

• Fate of Recent Senate Actions
  Approved by President Kelley
  – Budget & Honors Committee Charge (05 March Senate)

• Legislative Affairs Committee (LAC) – Bill Tracker
Announcements

• Search Updates
  – Steven Light; Associate Vice President for Academic Affairs
  – School of Graduate Studies Dean
  – Ombuds
  – Vice President for Research and Economic Development
  – Essential Studies Director
• Status of faculty travel and research funding
  – SEC: Dana Harsell, Kanishka Marasinghe, Becky Weaver-Hightower
• SOAR Update
  – Coordinating Committee members; Sharley Kurtz & Ryan Zerr
• Fate of Recent Senate Actions
  Approved by President Kelley
  – Budget & Honors Committee Charge (05 March Senate)
• Legislative Affairs Committee (LAC) – Bill Tracker
Announcements

• “Budget 101” Introduction to the New Budget Model – held next Thursday, 09 April, 4pm (Education 113)
  – Margaret Healy, Professor of Educational Leadership & Chair U Senate Budget, Restructuring, and Reallocation Committee

• Election Results for University Senate

• Council of College Faculties (CCF) Update
  – Eric Murphy; Associate Professor & CCF Representative
Announcements

• “Budget 101” Introduction to the New Budget Model – held next Thursday, 09 April, 4pm (Education 113)
  – Margaret Healy, Professor of Educational Leadership & Chair U Senate Budget, Restructuring, and Reallocation Committee

• Election Results for University Senate

• Council of College Faculties (CCF) Update
  – Eric Murphy; Associate Professor & CCF Representative
Election Results for University Senate

• 14 Council Members as At-Large Senators (2 year terms)
  – Eric Basile, Anne Christopherson, Van Doze, Reza Fazel-Rezai, Richard Ferraro, Soizik Laguette, Doug Munski, Andrew Quinn, Lana Rakow, Linda Ray, Gary Schindler, Curt Stofferahn, Brian Urlacher and Robert Wood

• Standing Committee on Faculty Rights (SCoFR)
  – Doug Munski (5 year term) & Curt Stofferahn (2 year term)

• Council of College of Faculties
  – Anne Christopherson

• Special Review Committee Pool
Announcements

• “Budget 101” Introduction to the New Budget Model – held next Thursday, 09 April, 4pm (Education 113)
  – Margaret Healy, Professor of Educational Leadership & Chair U Senate Budget, Restructuring, and Reallocation Committee

• Election Results for University Senate

• Council of College Faculties (CCF) Update
  – Eric Murphy; Associate Professor & CCF Representative
University Senate Agenda:
02 April 2015

• Announcements
• Minutes from 05 March 2015 meeting
• Question Period
• Consent Calendar
  – None submitted
• Business Calendar (cont.)
University Senate Agenda:
02 April 2015

• Announcements
• Minutes from 05 March 2015 meeting
• Question Period
• Consent Calendar
  – None submitted
• Business Calendar (cont.)
University Senate Agenda:
02 April 2015

• Announcements
• Minutes from 05 March 2015 meeting
• Question Period
• Consent Calendar
  – None submitted
• Business Calendar (cont.)
University Senate Agenda: 02 April 2015

• Business Calendar
  – Faculty Senate; SEC & Ad-hoc Committee on Shared Governance
  – Report from U Senate Curriculum Committee
  – U Senate Committee Election Results
  – Proposed changes to U Senate Curriculum Committee
  – Institutional Conflict of Interest in Human Subject Research Policy
  – Resolution regarding auditors and attorneys; SEC & Legislative Affairs Committee
Faculty Senate

• **Charge** a **Faculty Senate Development Committee** to develop a new constitution and bylaws for a Faculty Senate for approval at the first University Senate meeting in 2015-16. The committee membership will be faculty appointed by the Chair of University Senate.

• **Charge** a **University Senate Revision Committee** to revise the existing constitution and bylaws of the University Council and University Senate for approval at the first University Senate meeting in 2015-16. Further, the committee will review and revise the purpose, charge, and membership of the university governance and advisory committees. The membership will include representatives of faculty, staff, students, and the administration. Committee members will be appointed by the chairs of the respective governance groups; the President will appoint the administration representative.

• **Charge** the two committees to **consult** in order to insure that **governance structure is aligned and integrated**.

• The faculty elected to the 2015-16 University Senate also will act as senators in the newly constituted Faculty Senate during the time when the governance documents are being drafted, reviewed, and approved; thus, **faculty will serve on both the University Senate and the Faculty Senate in the transitional period**. The Chair of the Faculty Senate will be the Chair of the University Senate. The agenda of this body will be those items referred from University Senate and those items identified by faculty.
University Senate Agenda:  
02 April 2015

• Business Calendar
  – Faculty Senate; SEC & Ad-hoc Committee on Shared Governance
  – Report from U Senate Curriculum Committee
  – U Senate Committee Election Results
  – Proposed changes to U Senate Curriculum Committee
  – Institutional Conflict of Interest in Human Subject Research Policy
  – Resolution regarding auditors and attorneys; SEC & Legislative Affairs Committee
Senate approval required for the following report items:

• **Course Deletions**
  – MUSC 554, 591
  – OT 998
  – PSYC 313, 434, 435, 451

Senate approval *not* required for the following report items:

• **Program Changes**
  – EDU-ECE-MS: MS in Early Childhood Education
Senate approval *not* required for the following report items:

- **Course Changes – Undergraduate**
  - ACCT 406
  - CHEM 121
  - MGMT 361, 362
  - OT 424, 425, 426, 431, 432, 451, 452, 462, 488, 494
  - PSYC 395
  - TECH 452

- **Course Changes – Graduate**
  - OT 507, 585, 995, 997
  - PA 541, 550
University Senate Agenda:
02 April 2015

• Business Calendar
  – Faculty Senate; SEC & Ad-hoc Committee on Shared Governance
  – Report from U Senate Curriculum Committee
  – U Senate Committee Election Results
  – Proposed changes to U Senate Curriculum Committee
  – Institutional Conflict of Interest in Human Subject Research Policy
  – Resolution regarding auditors and attorneys; SEC & Legislative Affairs Committee
Election Results for University Senate Committees: New Members

• Academic Policies & Admissions
  – Abdallah Badahdah

• Administrative Procedures
  – Kimberly Porter, Andrew Quinn, & Janna Schill

• Budget, Restructuring & Reallocation
  – Melissa Gjellstad & Ryan Zerr

• Compensation
  – Sarah Robinson

• Conflict of Interest/Scientific Misconduct
  – Thomas Clement, Sally Dockter, & Tracy Evanson

• Curriculum
  – Jeffrey VanLooy & Ryan Zerr
Election Results for University Senate Committees: New Members

- **Essential Studies**
  - Kenneth Flanagan, Melissa Gjellstad, Mark Jendrysik, & David Yearwood
- **Faculty Handbook**
  - Kathy Smart
- **Faculty Instructional Development**
  - Daba Gedafa & Leslie Martin
- **Honorary Degrees**
  - Mark Jendrysik
- **Honors**
  - Richard Ferraro, Kimberly Porter, & Rebecca Rozelle-Stone
- **Intercollegiate Athletics**
  - Thomasine Heitkamp, Kimberly Kenville, & Eric Murphy
- **Intellectual Property**
  - Mark Askelson & Min Wu
Election Results for University Senate Committees: New Members

- Legislative Affairs
  - Bret Weber

- Library
  - Elizabeth Harris-Behling, Laurie McHenry, & Marcia Mikulak

- Online & Distance Education
  - Christine Harsell & Andrew Quinn

- Scholarly Activities
  - Thomas Clement, Sarah Meyers, & Casey Ozaki

- Student Academic Standards
  - Kanishka Marasinghe & Janna Schill

- University Assessment
  - Mary Askim-Lovseth, Debra Hanson, & Deborah Worley
University Senate Agenda: 02 April 2015

• Business Calendar
  – Faculty Senate; SEC & Ad-hoc Committee on Shared Governance
  – Report from U Senate Curriculum Committee
  – U Senate Committee Election Results
  – Proposed changes to U Senate Curriculum Committee
  – Institutional Conflict of Interest in Human Subject Research Policy
  – Resolution regarding auditors and attorneys; SEC & Legislative Affairs Committee
Proposed Changes to the U Senate Curriculum Committee

• Purpose: To aid and advise, and recommend approval to colleges and departments

• Membership: Faculty (seven—one from each college offering undergraduate degree curricula and two from Arts and Sciences: 1 from Math, Science, and Social Sciences; and 1 from Fine Arts and Humanities), for a total of eight)

• Students (three of junior or senior—higher standing, each from a different college)

• Support Staff (one, non-voting)

• Terms: Support Staff – concurrent with office

• Selection: Support Staff – appointed by the Registrar’s Office
Proposed Changes to the U Senate Curriculum Committee

- Purpose:

- 3. Consider and approve requests for course/program changes and proposals for new courses/programs and forward recommendations to the Senate. Minor changes in course title, number, and credit may be forwarded to the council of presidents and then to the Board of Higher Education without Senate action provided that the faculty are notified of these changes and any objections are resolved.

- 4. Provide representative to the College Undergraduate Evaluation Teams and Ensure a University-wide curricular perspective.
University Senate Agenda:
02 April 2015

• Business Calendar
  – Faculty Senate; SEC & Ad-hoc Committee on Shared Governance
  – Report from U Senate Curriculum Committee
  – U Senate Committee Election Results
  – Proposed changes to U Senate Curriculum Committee
  – Institutional Conflict of Interest in Human Subject Research Policy
  – Resolution regarding auditors and attorneys; SEC & Legislative Affairs Committee
POLICY STATEMENT

1. INTRODUCTION

It is the policy of The University of North Dakota to ensure its human subject research is conducted with integrity and is free from any actual or apparent institutional conflict of interest. This policy establishes the principles and procedures that enable the University to identify and avoid institutional conflicts of interest of a financial nature that present a significant risk to the perceived or actual objectivity of such research.

This policy is distinct from the University of North Dakota Conflict of Interest Policy, which provides for the management of conflicts of interest that are personal to the individual.

REASON FOR POLICY

2. ETHICAL STANDARDS

2.1. Institutional Conflict of Interest (ICOI): Can occur whenever the external financial interests or business relationships of the University or of one of its officials are such that their actions could affect, or could reasonably appear to affect, the conduct, review or oversight of the University's human subject research. The potential for institutional conflict of interest that could compromise the integrity of the University’s research mission generally arises in one of the three situations described below:
University Senate Agenda:
02 April 2015

• Business Calendar
  – Faculty Senate; SEC & Ad-hoc Committee on Shared Governance
  – Report from U Senate Curriculum Committee
  – U Senate Committee Election Results
  – Proposed changes to U Senate Curriculum Committee
  – Institutional Conflict of Interest in Human Subject Research Policy
  – Resolution regarding auditors and attorneys; SEC & Legislative Affairs Committee
Resolution regarding auditors & attorneys

Whereas: The North Dakota University System (NDUS) is comprised of approximately 17,800 employees and over 47,000 students, which is comparable to a city the size of Bismarck but may soon be without its own attorneys or auditors; and

Whereas: The NDUS institutions, especially the research universities, require highly specialized legal representation with expertise in higher education, such as those related to research compliance and intellectual property laws. Members of General Counsel are woven into the fabric of daily operations at UND including serving on multiple University Senate committees and providing a full range of legal services to their clients; and

Whereas: The NDUS institutions, especially the research universities, require internal auditing to help management most effectively provide stewardship of financial resources and ensure compliance with regulations of critical research activities;

Therefore be it Resolved: That the University Senate of the University of North Dakota encourages the 64th Legislative Assembly of North Dakota to maintain the current reporting structure and funding for NDUS legal counsel and auditing functions. In particular, the University Senate opposes language in HB 1003 dictating the termination of NDUS attorneys and internal auditors as of June 30, 2015, and the transfer of a reduced number of these functions to the State Attorney General and Auditor Offices.