

**TO:** Members of the Senate  
**FROM:** Scott Correll, Secretary of the Senate  
**SUBJECT:** Senate Meeting on October 4, 2018  
**DATE:** September 27, 2018

The October meeting of the University Senate will be held on Thursday, October 4, 2018 at 3:30 p.m. in Room 113, Education.

**CALL TO ORDER (Vice-Chair VanLooy)**

AGENDA

**SENATE CALENDAR:**

- 1) Announcements/Chair Opening Remarks:
  - a. Council of College Faculties, Staff Senate, Student Government Updates
  - b. University Council Meeting, October 24, 3:30 pm, Memorial Union Lecture Bowl
  - c. Faculty Lecture Series 2018-2019: October 17, Daphne Peterson, "Well-Being in Higher Education"
  - d. University Senate Committees, call meetings, committee plans and annual reports
- 2) Establish Quorum (Secretary Correll)
- 3) Review and approval of September 6, 2018 minutes located at:  
<http://und.edu/university-senate/agendas-and-minutes.cfm> (attachment #1)
- 4) Senate Executive Committee Report (Vice-Chair VanLooy)
  - a. One IT Strategic Plan 2018-2022; Feedback sought on Plan [Madhavi Marasinghe]  
<http://www1.und.edu/cio/highlights.cfm>
- 5) Question period

**CONSENT CALENDAR:**

- 6) No items.

**BUSINESS CALENDAR:**

- 9) US Library Committee Open Access Statement of Support/Opt-In Policy (Stephanie Walker, Dean of Libraries, USLC Chair) (attachment #2, attachment #3)

Minutes of the University Senate Meeting  
September 6, 2018

1.

The September meeting of the University Senate was held at 3:30 p.m. on Thursday, September 6, 2018, in Room 113, Education. Past Chair Nancy Vogeltanz-Holm opened the meeting and turned it over to Chair Paul Todhunter.

2.

The following members of the Senate were present:

Adjekum, Daniel	Hume, Wendelin	Olson, Devon
Alberts, Crystal	Hunter, Joshua	Ozaki, Casey
Berg, Justin	Iseminger, Colt	Peterson, Lawrence
Blackburn, Royce	Jeno, Susan	Petros, Tom
Campbell, Caroline	Jorgenson, Terra	Rabiei, Minou
Clauson, Tyler	Keengwe, Jared	Rogers, Aimee
Correll, Scott	Laguetta, Soizik	Sens, Mary Ann
Dauphinais, Kirsten	Legerski, Elizabeth	Shogren, Maridee
Denny, Dawn	Lim, Howe	Simonson, Ty
DiLorenzo, Thomas	Linder, Meloney	Smart, Kathy
Dodge, Michael	Lindseth, Paul	Sturges, Denyse
Doze, Van	Luber, Patrick	Takahashi, Shuzo
El-Rewini, Hesham	Majerus, Ryan	Tavakolian, Kouhyar
Farris, Nick	Malheim, Jeremy	Todhunter, Paul
Gjellstad,, Melissa	Matz, Adam	Vandenberg, Greg
Green Noelle	McGinniss, Mike	VanLooy, Jeff
Gupta, Surojit	Mochoruk, James	Wilson, Nick
Hanson, Erik	Mostad-Jensen, Anne	Wood, Bo
Heitkamp, Thomasine	Munski, Doug	Yang, Cai Xia
Helleloid, Duane	Myers, Brad	Zerr, Ryan
Hoffmann, Mark	Neubert, Jeremiah	Zimmerman, Sonia
Holm, Jeff	Oancea, Cristina	

3.

The following members of the Senate were absent:

Bjerke, Elizabeth	Juntunen, Cindy	Shivers, Jed
Carlson, Hannah	Kennedy, Mark	Tang, Clement
Dschaak, Kaleb	McGimpsey, Grant	Walker, Stephanie
Du, Guodong	Millspaugh, Richard	Wynne, Joshua
Eggen, Summer	Reed, Patricia	Yoshida, Shou
Fernandez-Haan, Zach	Roux, Gayle	
Halgren, Cara	Rundquist, Brad	
Henley, Amy	Schaefbauer, Jordan	

4.

Mr. Todhunter thanked outgoing chair Vogeltanz-Holm. He then reviewed the University Senate rules and procedures as well as parliamentary procedure. He also outlined goals, which include additional Faculty Handbook work and examination of committee work.

5.

Mr. Todhunter introduced Senator Erik Hanson, Student Body President. Mr. Hanson stated that his executive team is in place and reported that decision is pending on the Student Union.

6.

Mr. Todhunter introduced Senator Tyler Clauson, Staff Senate President. Mr. Clauson then introduced the new Staff Senate senator, Jeremy Malheim, who is the Staff Senate Vice-president. He reported that work has been completed on their mission, vision, and values.

7.

Quorum was established.

8.

Mr. Todhunter called attention to the minutes of the May 3, 2018 Senate meeting. The minutes were approved without objection.

9.

Past Chair Nancy Vogeltanz-Holm reported on the work of the SEC over the summer, which included:

- The Faculty Handbook was updated and now resides on PolicyStat. By AY 2021, all faculty will be under the new Handbook.
- The PTE Guidelines were updated with the help of Tammy Oltz, Director of the Law Library, and Heather Wages, General Counsel.
- The Summer 2018 list of degree and certificate candidates was approved.

10.

Mr. DiLorenzo introduced Senator Meloney Linder, the new Vice President for University and Public Affairs. He called attention to a program called Wake-Up to UND. He also reported on items arising from Goal Two of the Strategic Plan, including Degree Map and academic advising. Mr. DiLorenzo provided additional goal updates on faculty hiring and teaching excellence. He reported that the first Provost's Forum will be held September 13.

11.

The 20-minute question period opened at 4:01 p.m.

Mr. Gupta asked for a moment of silence to honor the passing of Professor Nanak Grewal.

Mr. Mochoruk inquired about potential plans to privatize facilities. Mr. DiLorenzo replied that he has no information regarding that. Peter Johnson stated there is a private partnership in the works for the steam plant.

Ms. Laguette inquired about the procedure for getting funding with the MIRA model. Mr. DiLorenzo stated that he will ask Jed Shivers, Vice President for Finance & Operations, to present on that topic at the next Senate meeting.

The question period ended at 4:10 p.m.

12.

Mr. Todhunter called attention to the Senate Curriculum Committee Annual Report. The report was accepted and filed without objection.

13.

Mr. Todhunter called attention to the Senate Committee on Committees Annual Report. The report was accepted and filed without objection.

14.

Mr. Todhunter called attention to the Summer 2018 degree and certificate candidates. He stated that the list had been approved by the SEC over the Summer. Mr. Harsell moved to approve, Mr. Jendrysik seconded, and the motion carried.

15.

Mr. Todhunter called attention to the ballots for Senate Vice-Chair/Chair Elect, faculty representative on the Senate Executive Committee, two Senate faculty members on the Committee on Committees, staff representative on the Senate Executive Committee, and student representative on the Senate Executive Committee. The election results are as follows:

Senate Vice-Chair/Chair Elect - Jeff VanLooy  
Faculty Representative on SEC - Caroline Campbell  
Faculty Representative on the Committee on Committees - Kathy Smart and Ryan Zerr  
Staff Representative on SEC - Tyler Clauson  
Student Representative on SEC - Erik Hanson

16.

The meeting adjourned at 4:35 p.m.

Scott Correll, Secretary  
University Senate

**To:** University Senate  
**From:** University Senate Library Committee, Stephanie Walker, Chair  
**Re:** University of North Dakota - FAQ Regarding Proposed Open Access (OA) Statement of Support  
**Date:** 4 October 2018

### **Introductory FAQ Regarding Proposed Open Access (OA) Statement of Support:**

This FAQ accompanies the OA Statement of Support (proposed and passed by the University Senate Library Committee in May 2018, and passed by Senate Executive Committee on 9/19/18), to address concerns and to provide information on the benefits of depositing research in the UND Scholarly Commons (<https://commons.und.edu>).

**Question:** *Will placing my research in the UND Scholarly Commons (“the Commons”) cause copyright problems?*

**Answer:** No, for many reasons. First, we try to deposit pre-prints (author’s copies, before publisher mark-up). Over 94% of publishers allow deposit of pre-prints into non-profit institutional or disciplinary repositories (such as the Commons or arXiv). An online service, [SHERPA-RoMEO](#), provides publisher policies on pre and post-print deposit in institutional repositories (IRs); we consult it before all deposits. If a tiny publisher lacks a SHERPA-RoMEO entry, we write them directly. Many publishers also allow deposit of post-prints (published versions) in a non-profit educational institution’s IR; this is very different from deposit in for-profit services like Academia.edu or ResearchGate. We also have staff who are well-versed in copyright. If there are questions, we consult with counsel. Finally, if a publisher ever disputed our right to post an item, we’d simply remove it.

**Question:** *Does posting my research help me in any way?*

**Answer:** Absolutely! Your research will be globally available. Open research is more heavily cited; an article in arXiv.org cites the advantage in research impact of OA journal articles as 36%-172% depending on the discipline.<sup>1</sup> Authors who deposit work in the Commons get monthly research impact updates with maps showing where work was downloaded. We also have a CV review service: submit your CV to Zeineb Yousif, Digital Initiatives Librarian ([zeineb.yousif@und.edu](mailto:zeineb.yousif@und.edu)), and she will review your publication history and let you know what we can upload to increase your research impact! Also, the Commons is integrated with Digital Measures, and you’ll see a check box asking if you’d like to upload this research to the Commons if feasible. If you check it, we will investigate any possible copyright issues and work with you to ensure anything that can be made available is uploaded.

**Question:** *Most federal (and some state) granting agencies now require that research done with federal funds be made available openly. Does this satisfy that requirement?*

**Answer:** Yes. In fact, having an institutional unit mandated to gather research, like a Library, is preferred by most agencies. For example, say Prof. Smith promises to keep his research on a website, then gets a great job in Japan. However, if Prof. Smith says “I’ll deposit my research with the Library” and the Library asserts an institutional commitment to make it permanently available, including ongoing file conversion so it’s never unreadable, this is more favorably regarded. Also, libraries offer more services. Recently, a UND professor wrote an NSF grant. The Library committed to placing research in the IR, permanently retaining it, backing it up, and making it accessible using metadata schema. NSF asked for more details on metadata, which we provided. The grant was successful.

**Question:** *What about the deposit requirements for research data that funding agencies are now also starting to insist upon? And the research data management (RDM) plans?*

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<sup>1</sup> Hajjem, C., Harnad, S., Gingras, Y. “Ten Year Cross-disciplinary Comparison of the Growth of Open Access and How It Increases Research Citation Impact.” <https://arxiv.org/ftp/cs/papers/0606/0606079.pdf> Retrieved May 10, 2018.

**Answer:** We can help here too! Dean Walker has written 6 RDM plans. Three librarians (Zeineb Yousif, Will Martin, & Dawn Hackman) have formal RDM training, and there is an online [Data Management guide](#). For accessibility/ metadata help, contact a metadata librarian – Shelby Harken, Stephen Nonte, or Drew Lewis. We partner with High Performance Computing and are the campus back-up for Globus. We have datasets in the Commons, and can provide indexing/records for data on other servers, so all research data can be searched from one location.

**To: University Senate**  
**From: University Senate Library Committee, Stephanie Walker, Chair**  
**Re. University of North Dakota - Open Access Statement of Support/Opt-In Policy**  
**Date: 4 October 2018**

## **Background**

The University of North Dakota (UND) launched its online institutional repository, known as the *UND Scholarly Commons* (hereinafter called the Commons) in September 2017. It is managed by the Chester Fritz Library (CFL) and located at <https://commons.und.edu>. The Library, while encouraging the UND community to deposit a wide variety of materials in the Commons, conducted extensive outreach and education on institutional repositories (such as the Commons), copyright, Open Access, the Open Access policies of major granting agencies, and related topics. The results indicate substantial success: as of August 20, 2018, the Commons contains 12,698 items, including everything from research papers, poster presentations, art inventory items, historical photos, research data sets, and much more, in all formats, including images, audio, video, text, data, etc. These items have been downloaded a total of 9,536 times by users worldwide. However, UND has never had any official statement, resolution, or policy regarding Open Access.

An Open Access Statement of Support aligns with **OneUND**, our Strategic Plan, under the Core Values of:

Community – A spirit of collaboration and connectedness across the University and beyond

Discovery – An enthusiasm for inquiry, creativity, and innovation

Liberal Arts – An educational foundation essential for living an intellectually curious, personally fulfilling, and socially responsible life

Lifelong Learning – A passion for learning, civic engagement, and community leadership

It would also support Diversity and Inclusivity by making our research available to all, regardless of ability to pay or location.

An Open Access Statement of Support would also align with OneUND under our Goals of Learning, Discovery, and Engagement. Our explicitly stated goal of enhancing discovery at a level consistent with the most research-intensive universities (Carnegie R1) is particularly facilitated by encouraging faculty to deposit their materials and share them openly and globally).

Most faculty works of authorship are subject to the intellectual property policies of UND and the State Board of Higher Education, which vest ownership of copyright in the author but reserve to UND certain, limited use rights.<sup>1</sup> The Commons, and the voluntary deposit process, will help ensure a meaningful and appropriate way for UND to exercise those rights while permitting authors to highlight or earmark certain works for inclusion.

At present, we recommend offering a Statement of Support and a formally supported “opt in” policy, in the form of an Open Access Resolution, to be presented to the University Senate and all other appropriate bodies. This is a vital first step in the promotion of Open Access in routine operations – a goal adopted and supported by most Carnegie R1 institutions, and clearly supported by major federal (and many state) granting agencies.

## Statement of Support:

The University of North Dakota (UND) is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the University adopts the following policy:

The Libraries of UND will make available the scholarly research (including articles, data sets, supporting material, and related materials) of the UND community, including faculty, non-faculty researchers, staff, and others, and high-level student research (theses, dissertations, posters presented at professional conferences, etc.). Faculty and other community members will be encouraged to deposit such works with the Libraries, for uploading, metadata tagging, and permanent preservation in the Commons. Faculty and other community members who deposit materials not already subject to the intellectual property policies of UND and the State Board of Higher Education will in concert with the Commons deposit grant to UND a nonexclusive, noncommercial, irrevocable, worldwide license to use, reproduce, and distribute these materials or portions thereof, in any medium, solely for the purpose of maintaining and operating the Commons. The policy applies to all scholarly articles and research materials authored or co-authored while the person is a member of the UND faculty, staff, or student body except for any articles or other materials completed before the adoption of this policy and any articles or other materials for which the Faculty member or other UND community member entered into an incompatible licensing or assignment agreement. The Dean of Libraries or the Provost will waive application of the license for a particular article or delay access for a specified period of time upon express direction by the author/co-author.

Each author/co-author will be strongly encouraged to provide, at no charge, an electronic copy of the author's/co-author's final version of each article/item (frequently known as a "pre-print") to the Libraries in an appropriate format; we recommend this article be provided as soon as possible after publication, and within 30 days would be ideal, to maximize interest from other researchers. UND may make the article available in its Open Access repository (currently the Commons). The Office of the Provost and/or the Libraries will be responsible for interpreting this policy, resolving disputes concerning its application, and recommending changes to the University Senate from time to time. This policy will be reviewed after 3 years and a report presented to the University Senate.

\*This Statement of Support has been partially adapted from Boston University Open Access Policy 2017 <http://www.bu.edu/disc/files/2017/10/Open-Access-Policy-2015.pdf>

<sup>i</sup> Nothing in this policy is intended to supersede, modify, limit, or curtail the rights or expectations of any party pursuant to SBHE Policy 611.2 or UND's Intellectual Property Policy.