



# Carbapenemase-producing Organisms: Prevention and Response in Healthcare Settings

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Prevention and Response Branch  
Division of Healthcare Quality Promotion

# Today's Content

- **Background and Epidemiology**
- **Spread in Healthcare Facilities**
- **Public Health Solutions**
- **Collaboration Between Public Health and Healthcare Facilities**

## Poll Question #1

**What type of healthcare setting do you work in?**

- A. Short-term acute care hospital
- B. Long-term acute care hospital
- C. Nursing home
- D. Outpatient clinic
- E. Other

# Antimicrobial Resistance (AR)

## The Threat of Antibiotic Resistance in the United States



U.S. Department of  
Health and Human Services  
Centers for Disease  
Control and Prevention

### New National Estimate\*

Antibiotic-resistant bacteria and fungi cause at least an estimated:



**2,868,700**  
infections



**35,900**  
deaths



*Clostridioides difficile* is related to antibiotic use and antibiotic resistance: \*



**223,900**  
cases



**12,800**  
deaths

<https://www.cdc.gov/drugresistance/pdf/threats-report/2019-ar-threats-report-508.pdf>

# Emerging Carbapenem-resistant Organisms



**Carbapenem-Resistant Enterobacterales  
(Urgent Threat)**



**Multidrug-Resistant  
*Pseudomonas aeruginosa* (Serious Threat)**

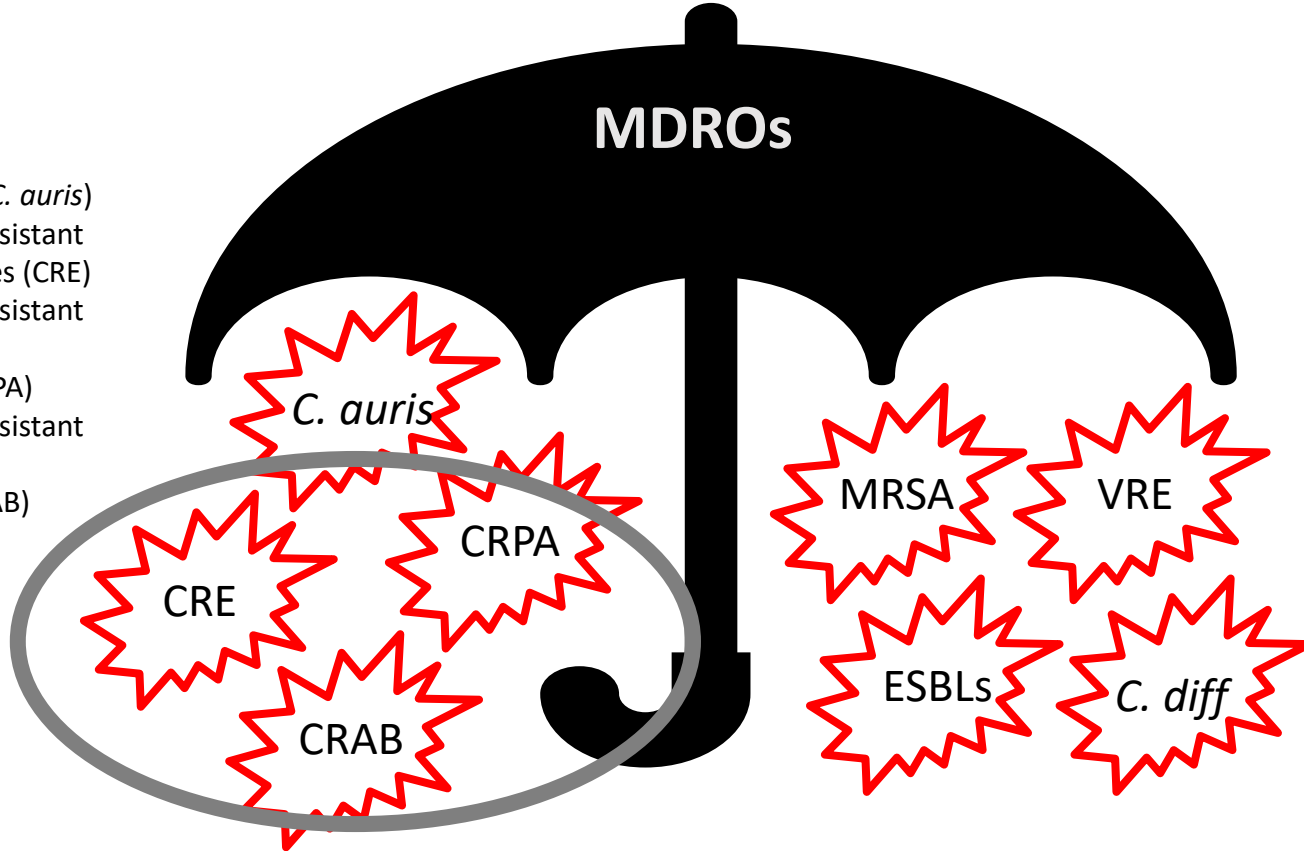


**Carbapenem-Resistant *Acinetobacter*  
(Urgent Threat)**

# Multidrug-resistant organisms (MDROs)

## Emerging

- *Candida auris* (*C. auris*)
- Carbapenem-resistant Enterobacterales (CRE)
- Carbapenem-resistant *Pseudomonas aeruginosa* (CRPA)
- Carbapenem-resistant *Acinetobacter baumannii* (CRAB)



## Endemic

- Methicillin-resistant *Staphylococcus aureus* (MRSA)
- Vancomycin-resistant Enterococci (VRE)
- extended-spectrum beta-lactamases (ESBLs)
- *Clostridioides difficile* (*C. diff*)

# Common Carbapenemases

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# Common Carbapenemases

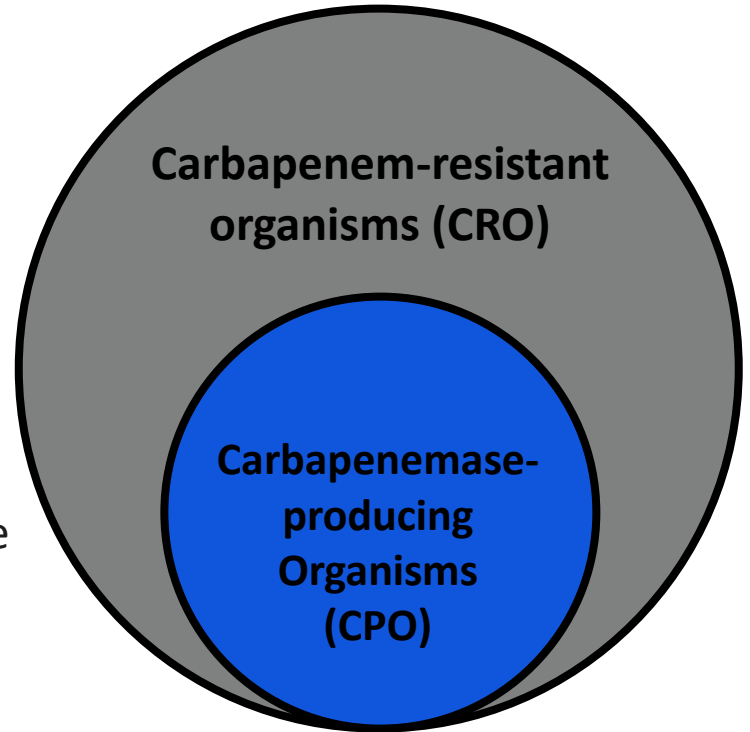
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- **OXA** - Oxacillinase

# CRO versus CPO

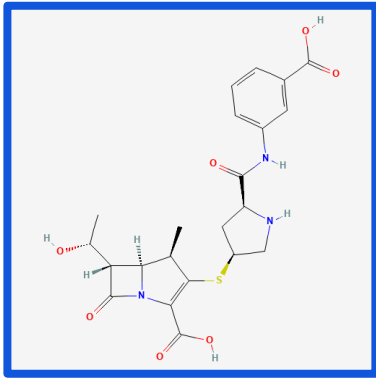
- **CRO: Carbapenem-resistant Organism**
  - Any organism resistant to carbapenem antibiotics
  - Not dependent on a carbapenemase
- **CPO: Carbapenemase-producing Organism**
  - Any organism that produces a carbapenemase
  - A special subset of Carbapenem-Resistant Organisms



## Poll question #2

- What are the names of the carbapenem antibiotics?

# Carbapenem antibiotics

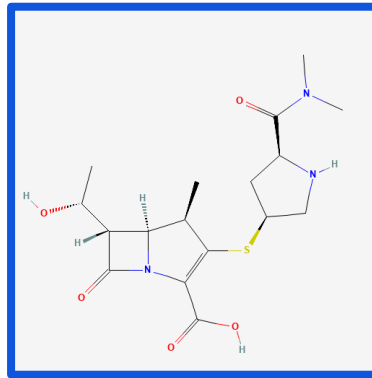


Ertapenem

## Ertapenem for Injection

1 gram/vial

For Intravenous or Intramuscular Use  
Each vial contains: 1.046 grams ertapenem sodium, equiv. to 1 gram ertapenem.  
Prior to Constitution: Store lyophilized powder below 25°C (77°F).



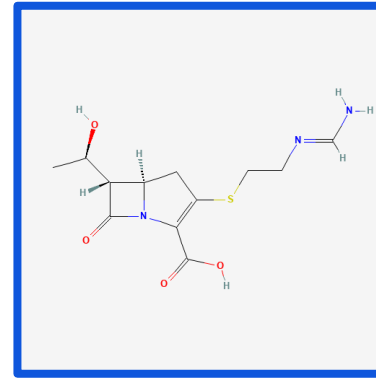
Meropenem

## Meropenem for Injection, USP

500 mg per vial

Meropenem Equivalent

For Intravenous Use Only Rx Only



Imipenem

## Imipenem and Cilastatin for Injection, USP (I.V.)

250 mg/ 250 mg\* per vial

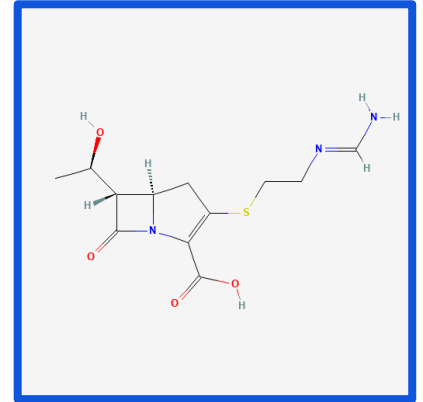
\*Each vial contains: Imipenem 250 mg (Anhydrous Equivalent) and Cilastatin Sodium equivalent to 250 mg of Cilastatin

CAUTION: SINGLE-DOSE VIAL  
NOT FOR DIRECT INFUSION

FOR I.V. USE ONLY Rx only

# Carbapenem Place in Therapy

- **Antibacterial agents with a broad range of antimicrobial activity and a critical place in therapy**
- **Active against many organisms that are resistant to other  $\beta$ -lactam antibiotics**
- **Increasingly important due to increase in resistance to other antibiotics**
- **Relied on to treat sickest patients and most resistant bacteria for over 20 years**



The carbapenem antibiotic imipenem

# Pathogens and Resistance

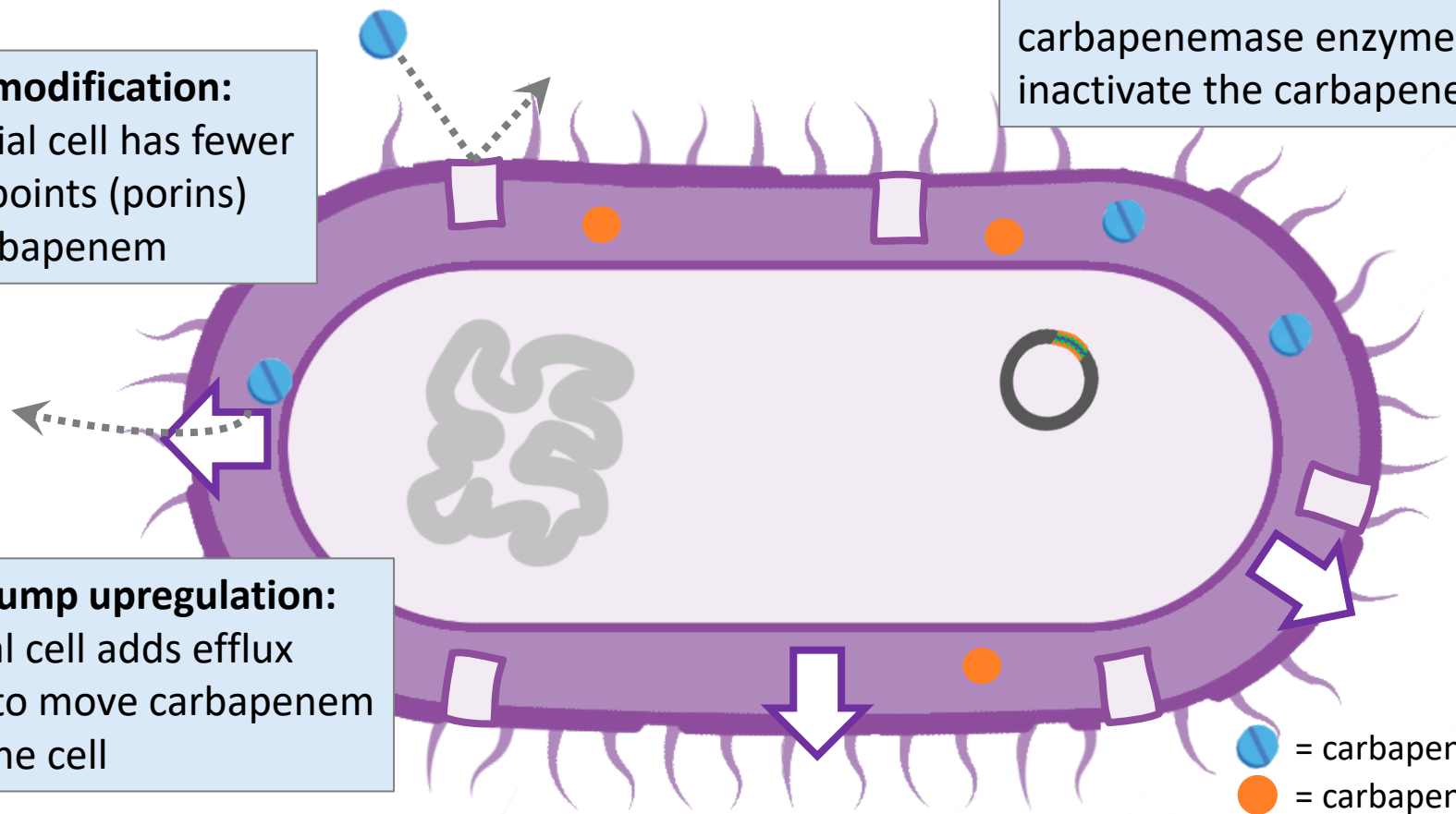


# Mechanisms of Carbapenem Resistance

**Carbapenemase-producing:**  
Bacteria makes carbapenemase enzymes to inactivate the carbapenem

**Porin modification:**  
Bacterial cell has fewer entry points (porins) for carbapenem

**Efflux pump upregulation:**  
Bacterial cell adds efflux pumps to move carbapenem out of the cell



● = carbapenem  
● = carbapenemase

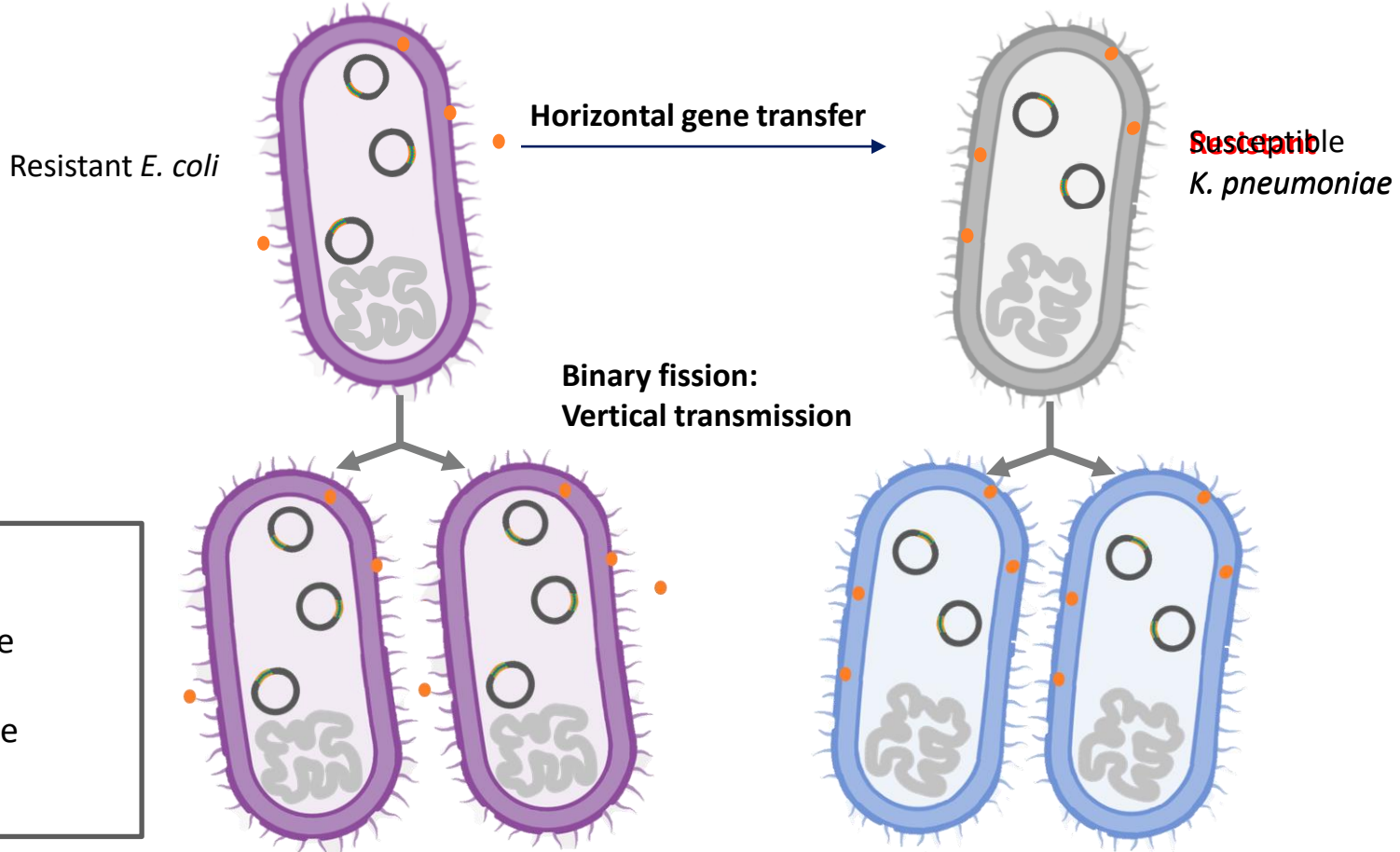
# Carbapenem resistance mechanisms

- **Acquired genes and mutations that change the cell to reduce how much carbapenem antibiotic gets in or stays in the bacterial cell**

# Carbapenem resistance mechanisms

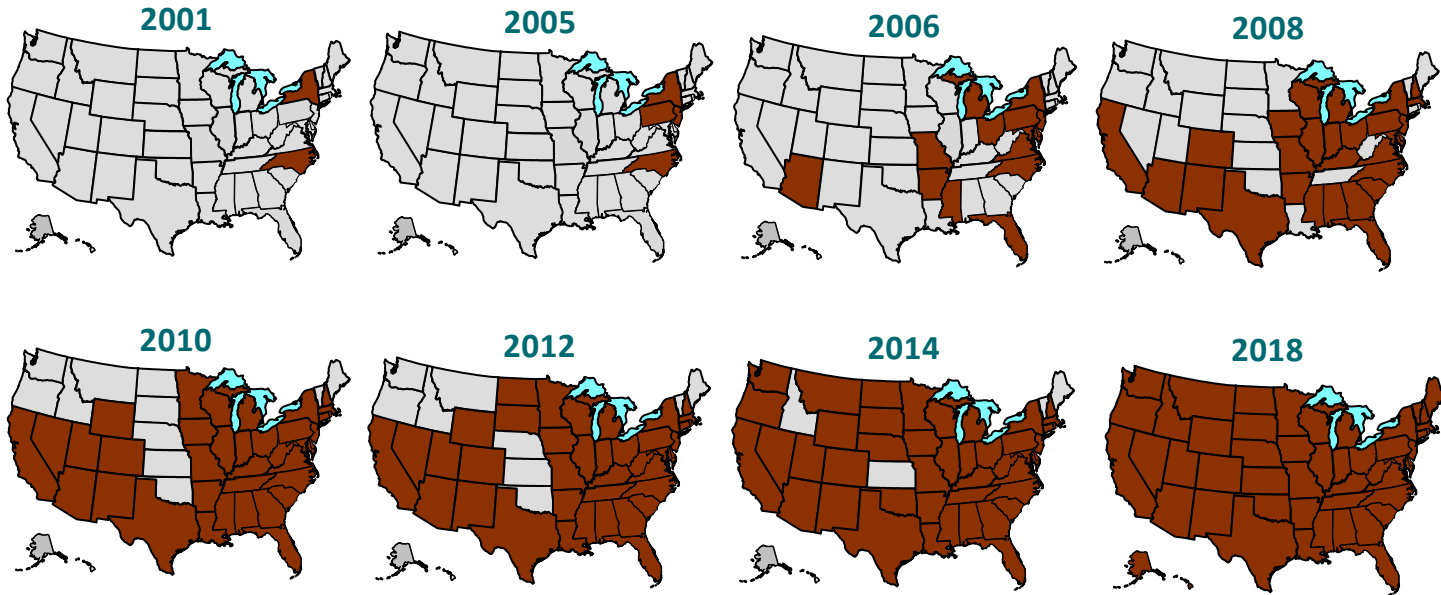
- **Acquired genes and mutations that change the cell to reduce how much carbapenem antibiotic gets in or stays in the bacterial cell**
- **Enzymes called carbapenemases:**
  - Inactivate carbapenems and other  $\beta$ -lactam antibiotics, including penicillins and cephalosporins
  - Pan-resistant strains have been identified
  - Often encoded on mobile genetic elements (e.g., plasmids)

# Plasmid-mediated Resistance



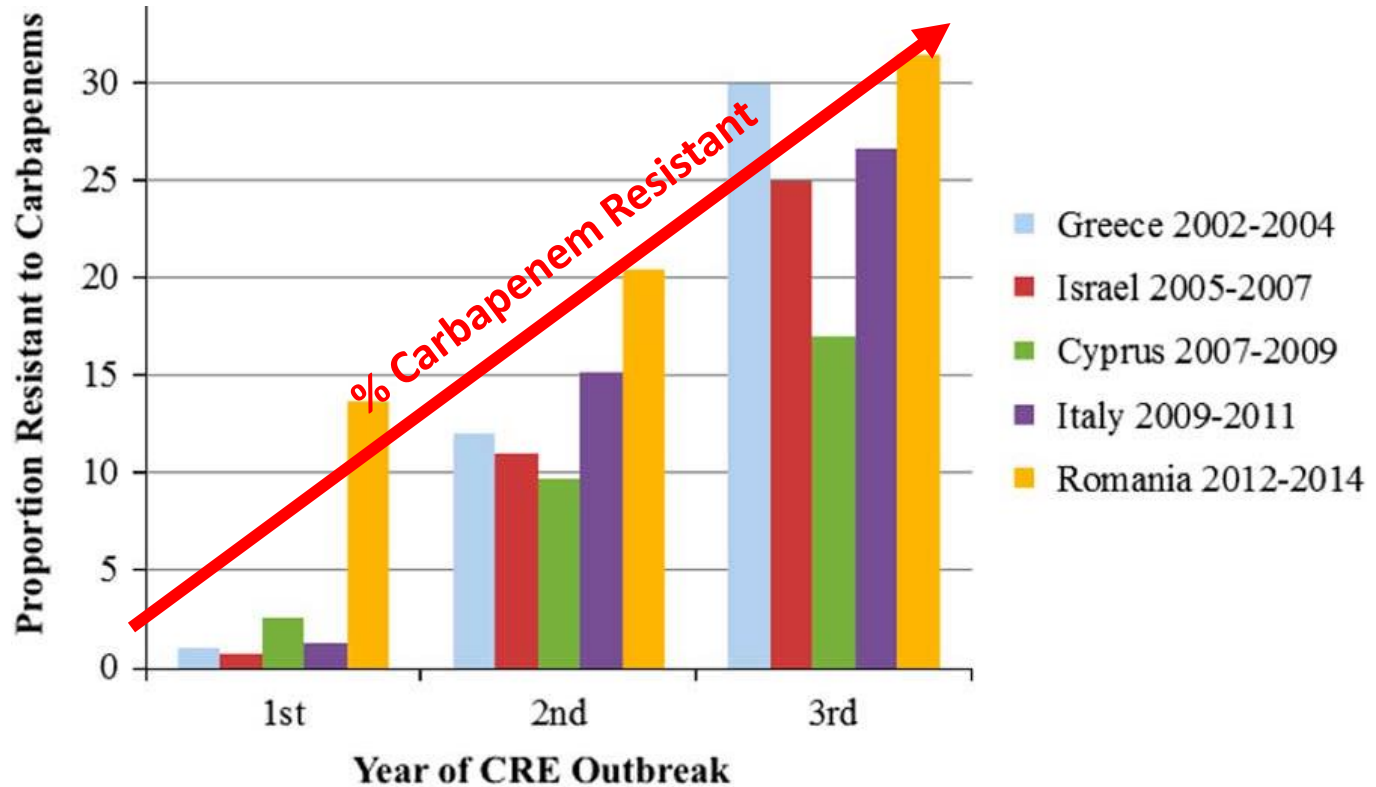
# Plasmid-mediated Carbapenem Resistance in U.S.

KPC-Carbapenem-Resistant Enterobacteriales spread from 2 states in 2001 to 50 states, DC, and PR by 2018



■ States with *Klebsiella pneumoniae* carbapenemase (KPC)-producing Carbapenem-resistant Enterobacteriales (CRE) confirmed by CDC

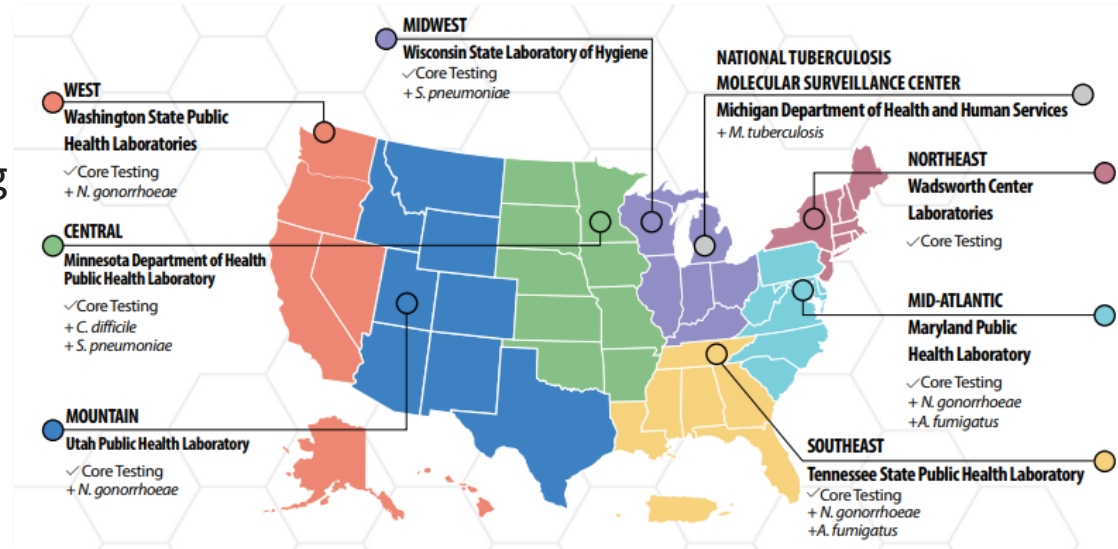
# Important Antibiotics Can Quickly Lose Efficacy



# Carbapenemase-producing Organisms in the United States

# CDC's Antimicrobial Resistance Laboratory Network

- **56 Public Health Labs**
  - CRE, CRPA, CRAB isolate testing
- **45 Public Health Labs**
  - Whole Genome Sequencing
- **7 Regional Labs**
  - CPO colonization screening

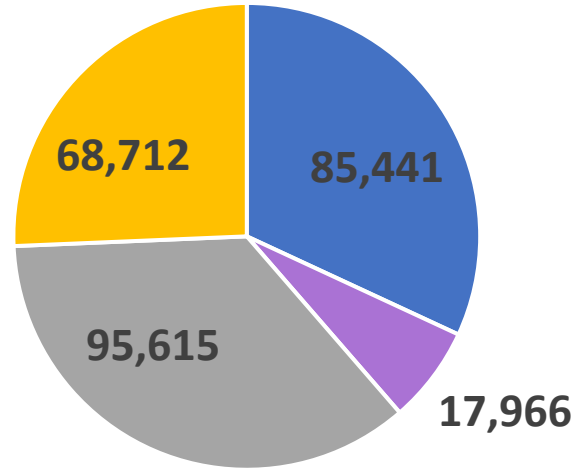


<https://www.cdc.gov/drugresistance/laboratories.html>



# Total Specimens Tested—AR Lab Network, 2017–2022

267,734



■ CPO screen ■ CRAB  
■ CRE ■ CRPA

# Carbapenemases Vary By Organism

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  - ~30% harbor a carbapenemase
  - KPC most common followed by NDM

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  - VIM most common

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  - VIM most common
- **Carbapenem-resistant *Acinetobacter baumannii* (CRAB)**
  - Most harbor a carbapenemase
  - OXA (e.g., OXA-23) most common

# Antibiotic Resistance & Patient Safety Portal

## Antibiotic Resistance

In 2016, CDC established the Antimicrobial Resistance Laboratory Network (AR Lab Network) in every state and in many large cities and U.S. territories. The AR Lab Network provides local experts access to gold-standard public health lab testing—for organisms found in healthcare, food, and the community—to help combat the spread of antibiotic resistance.

In healthcare settings, AR Lab Network testing helps identify and protect patients from some of the most dangerous antibiotic-resistant germs. This includes germs that carry some types of resistance genes. When bacteria carry carbapenemase genes—genetic elements that make enzymes that break down carbapenems (strong antibiotics)—they can cause serious infections with limited treatment options. Knowing when these germs and genes are in their facilities can help healthcare providers take action to keep the threat contained. The AR Lab Network gives experts the data they need to launch into action and stop these dangerous infections at just one case.

Note: The AR Lab Network was not designed to be a traditional surveillance system. Isolates tested are a convenience sample and include clinical, surveillance, and outbreak specimens. Within each state, isolate submissions and testing are determined by state priorities and reporting regulations.

### COMPARING CARBAPENEMASE GENE DETECTION ACROSS HIGH-PRIORITY ORGANISMS

The AR Lab Network performs carbapenemase gene testing on three priority healthcare pathogens, plus colonization screening for five targeted carbapenemase genes. The data below capture how frequently a carbapenemase gene was detected in these pathogens and screens. To learn more about each of the four priority areas dedicated to healthcare-associated infections in the AR Lab Network, visit the AR Lab Network data profile associated with each priority area visualization below.

## Antimicrobial Resistance Laboratory Network

### FEATURED ITEMS



September 2023  
**THE ANTIMICROBIAL RESISTANCE LABORATORY NETWORK: Improving detection of emerging AR threats in healthcare**



September 2023  
**Expanded Antimicrobial Susceptibility Testing for Hard-to-Treat Infections (ExAST): Guiding clinical healthcare decision-making through the AR Lab Network**



September 2022  
**Carbapenem-resistant Enterobacteriales (CRE) and *Pseudomonas aeruginosa* (CRPA) carrying multiple targeted carbapenemase genes**

### Detection of Carbapenemase Genes Among All Isolates Tested by Priority Area

#### Carbapenem-Resistant Enterobacteriales (CRE)

34.74% of CRE submitted to the AR Lab Network from 2017 through 2022 had a targeted carbapenemase gene detected.



#### Carbapenem-Resistant *Pseudomonas aeruginosa* (CRPA)

2.23% of CRPA submitted to the AR Lab Network from 2017 through 2022 had a targeted carbapenemase gene detected.



#### Carbapenem-Resistant *Acinetobacter baumannii* (CRAB)

2.45% of CRAB submitted to the AR Lab Network from 2017 through 2022 had a targeted carbapenemase gene detected.



### Targeted Carbapenemase Genes Detected by Priority Area

#### Carbapenemase Gene Screens

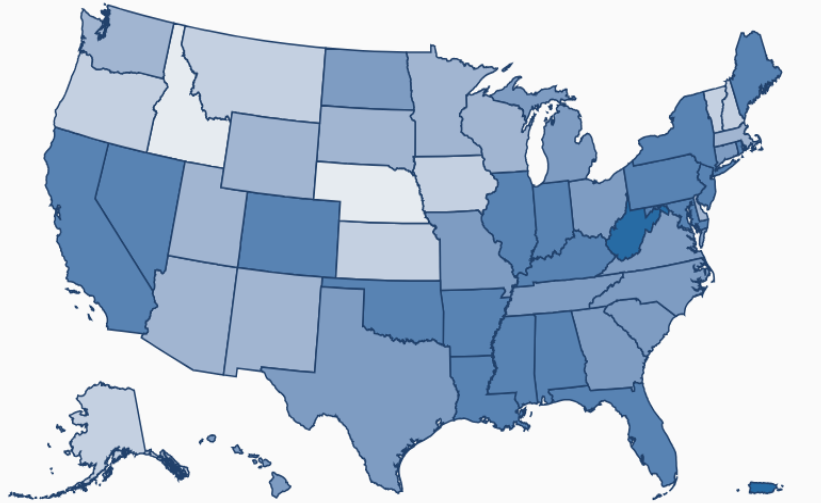
5.45% of Colonization Screens submitted to the AR Lab Network from 2017 through 2022 had a targeted carbapenemase gene detected.



<https://arpsp.cdc.gov/profile/antibiotic-resistance?tab=ar-lab-network>

# Antibiotic Resistance & Patient Safety Portal

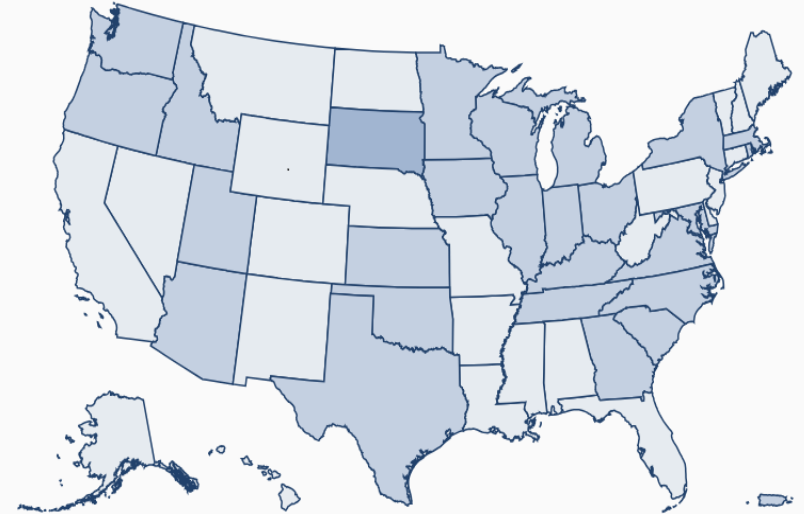
Percent of CRE Tested With KPC Detected, 2022



Percent of CRE Isolates with KPC Detected



Percent of CRE Tested With IMP Detected, 2022



Percent of CRE Isolates with IMP Detected



# **What Causes Antimicrobial Resistance to Spread In Healthcare Settings?**

# Common Themes Among Emerging Resistance Threats

- **Affect the sickest of the sick**
  - Multiple/prolonged healthcare stays
  - Invasive medical devices
  - Ventilator-dependent
  - Recent antibiotic treatment
- **Exploit gaps in infection control**
- **Spreads silently**





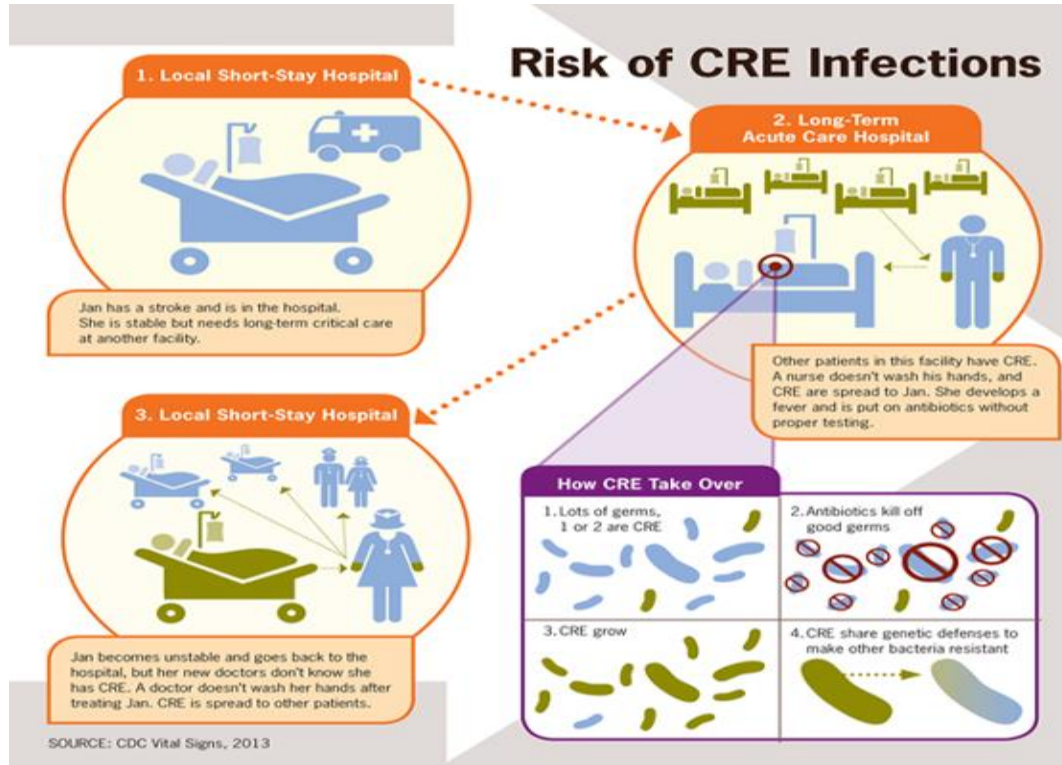
## Poll question #3

- **What types of healthcare facilities have carbapenemase-producing organism outbreaks been identified in?**
  - A. Acute care hospitals
  - B. Long-term acute care hospitals
  - C. Skilled nursing facilities, including ventilator-capable skilled nursing facilities
  - D. All of the above

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# How Antibiotic Resistant Pathogens Spread



*Reservoir of colonized and infected patients*

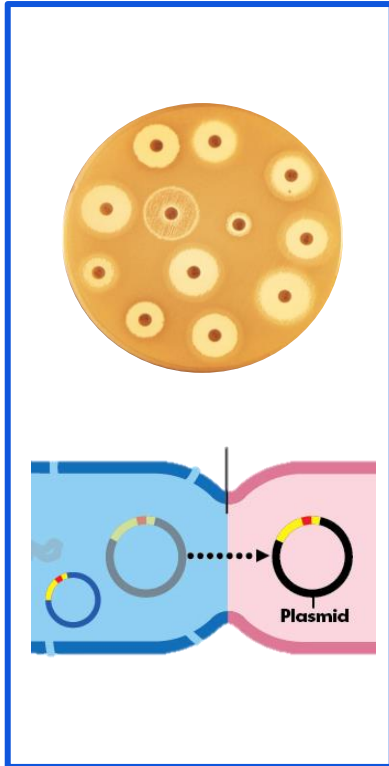
*Transmission via HCP hands or contaminated surfaces or equipment*

*Patients at risk of acquiring CRE due to antibiotic exposures and indwelling medical devices*

*Gaps in communicating status at transfer and in adhering to core infection control practices*

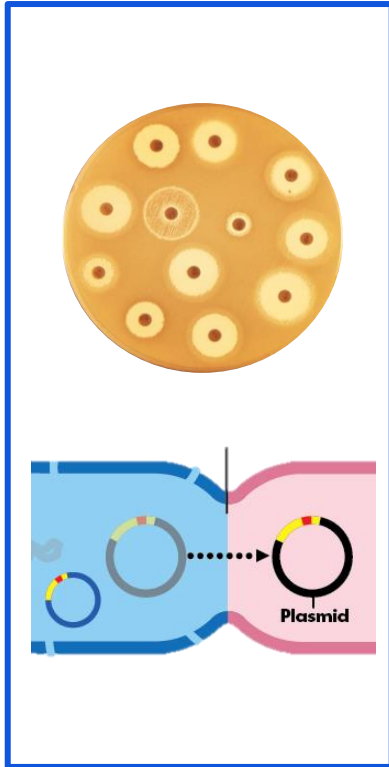
# Factors that Drive Spread in Healthcare Settings

## Identification Challenges

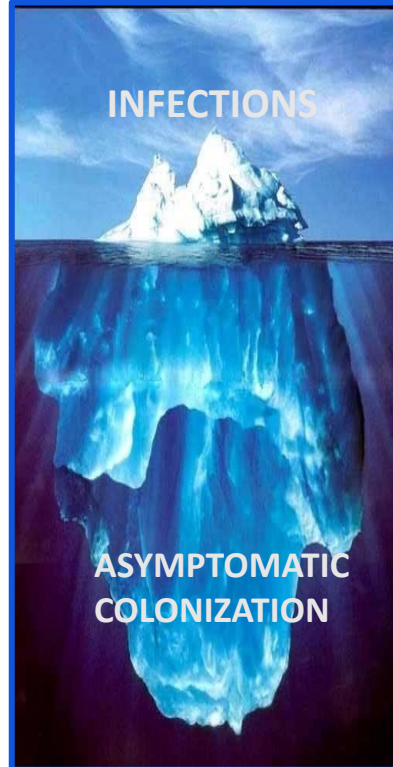


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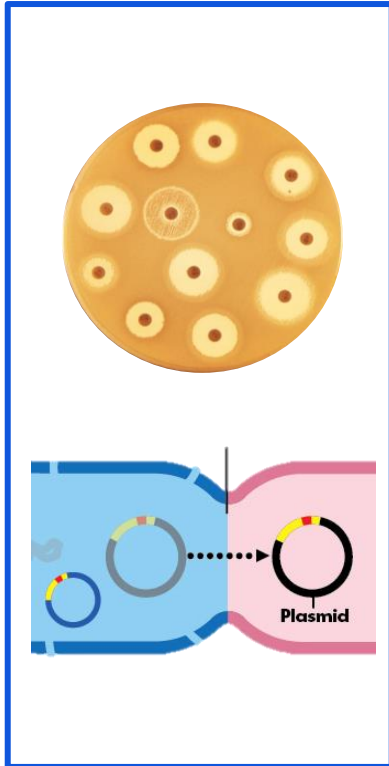


## Under Detection

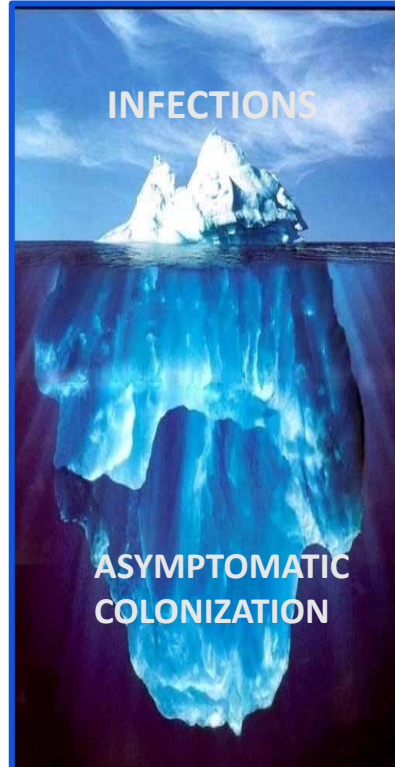


# Factors that Drive Spread in Healthcare Settings

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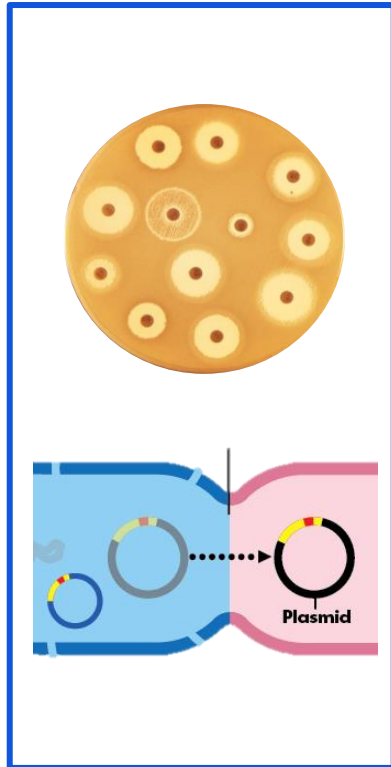


## Infection Control Gaps

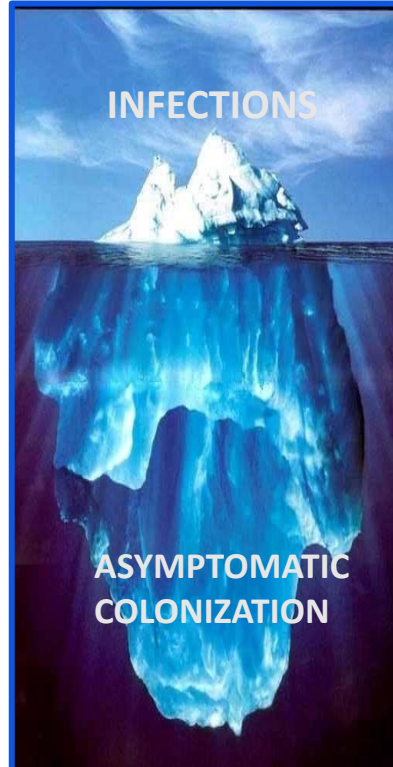


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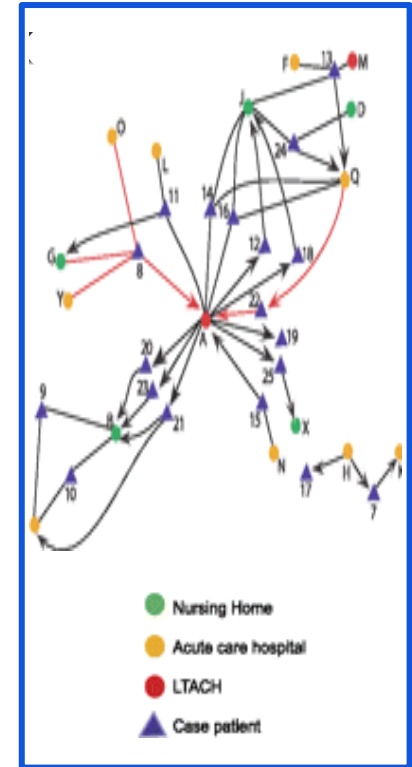
## Under Detection



## Infection Control Gaps



## Interfacility Communication Gaps



# Identification Challenges at Clinical Laboratories: Carbapenemase-Producing Organisms

- **Identifying genotype, not just carbapenem resistance phenotype**
- **Clinical laboratories may not have mechanism testing available**
  - Available through CDC's Antimicrobial Resistance Laboratory Network
- **Time needed to develop tests once new resistance detected**



# Under Detection of Colonization

Infections are only a fraction of the total burden of AR

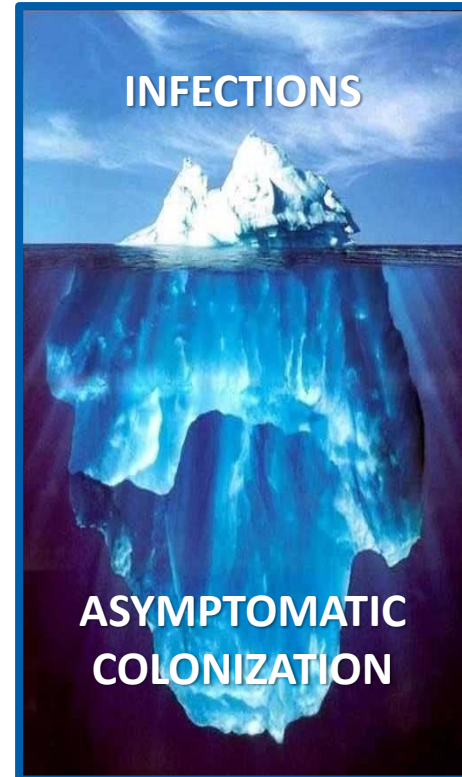


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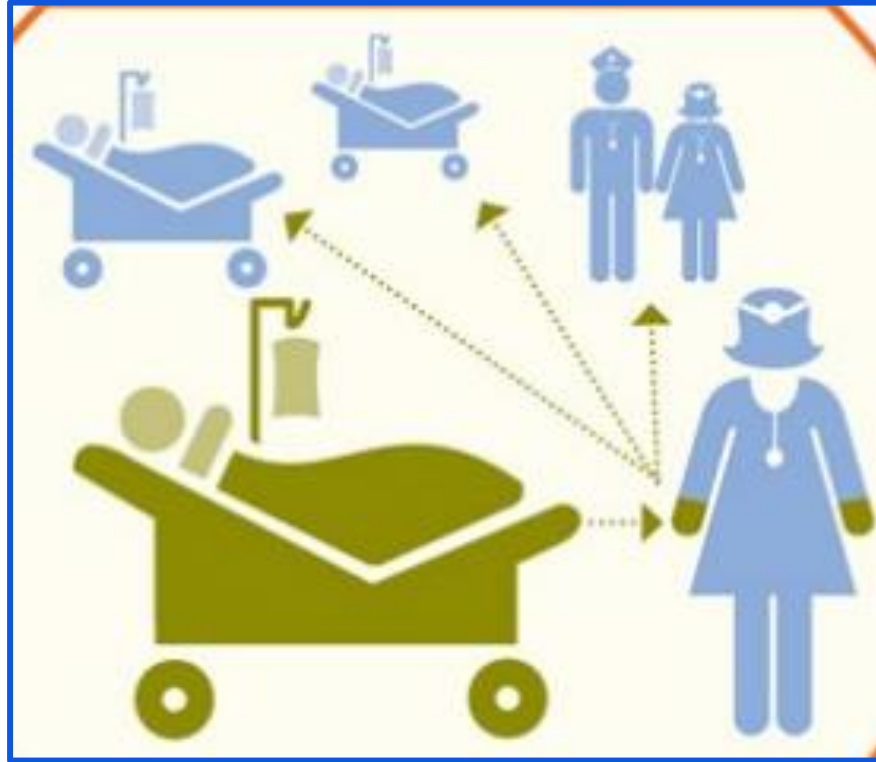
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## Colonized Patients

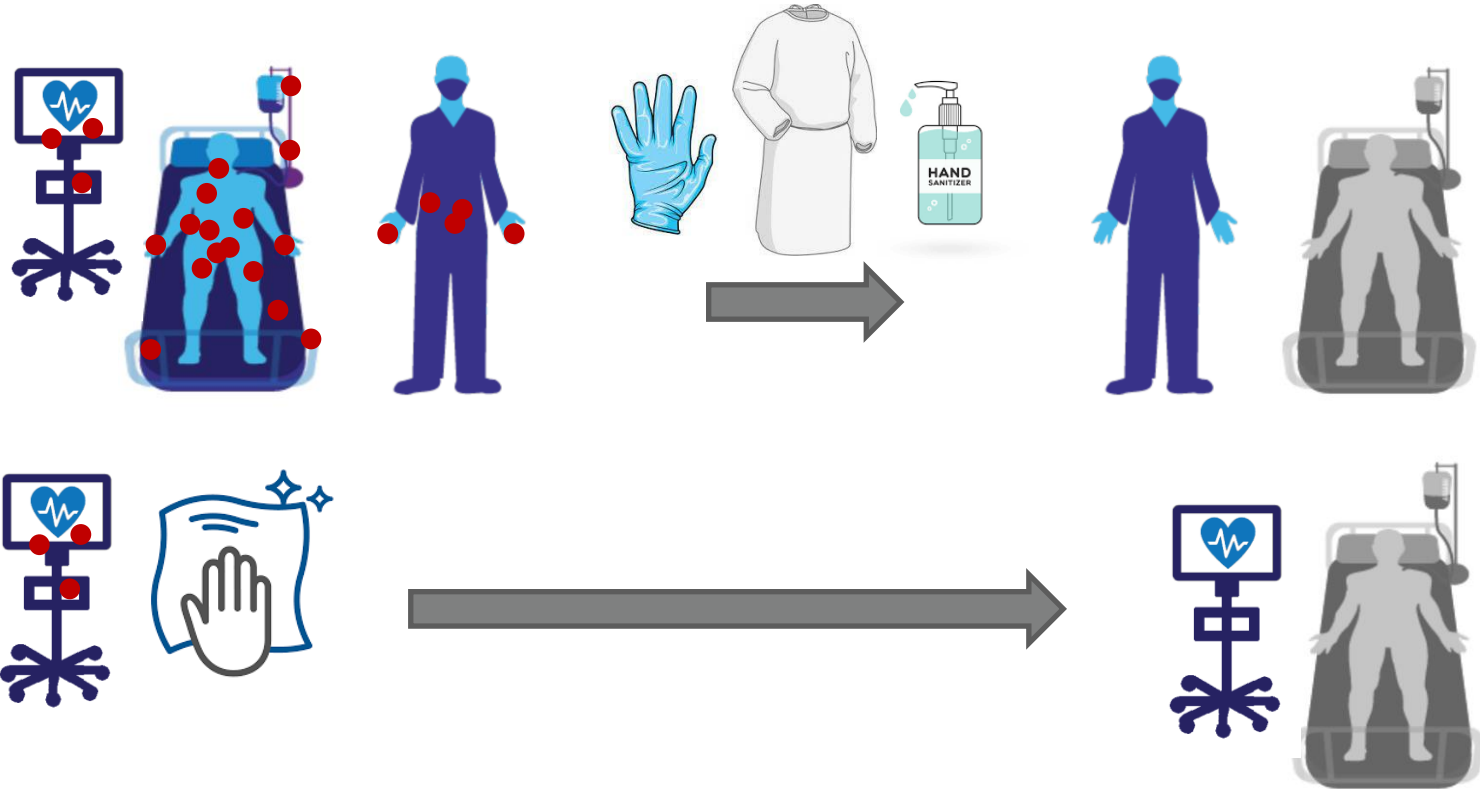
- Carry an MDRO on or in their body without showing signs or symptoms of infection
  - Prolonged, no decolonization methods
- Source of transmission and risk for infections
- Can be identified with screening tests
  - Historically, limited availability, expensive



# Infection Control Challenges

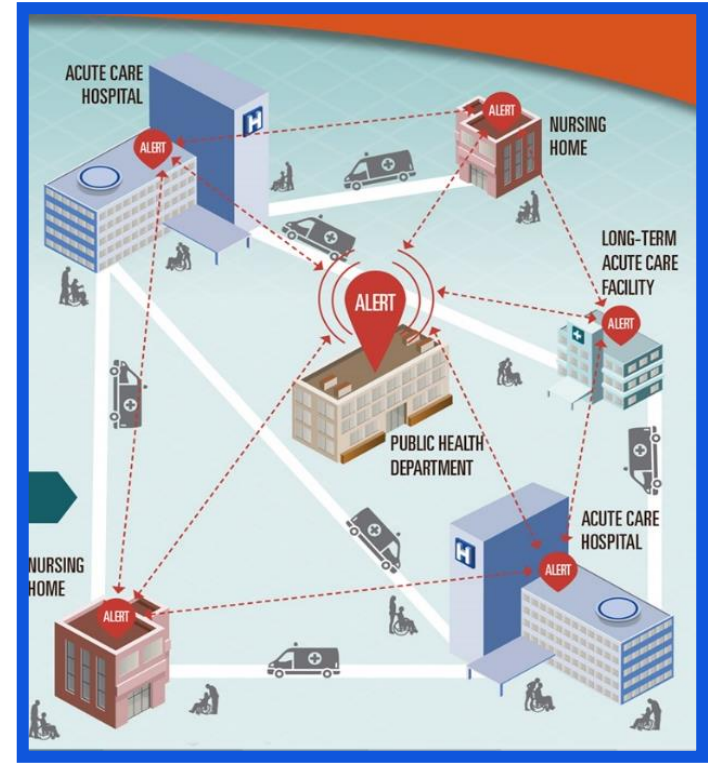


# Strong Infection Prevention and Control (IPC) Practices Can Reduce Transmission



# Interfacility Communication

- Intricate patient sharing networks mean that healthcare facilities are interconnected
- What a facility does or does not do can impact other facilities across the region
  - Detection
  - Infection control
  - Communication when transferring patients




**Public Health Solutions**


# CDC's Containment And Prevention Strategies

For accessible version go to <https://www.cdc.gov/hai/containment-and-prevention.html>

## Interim Guidance for a Public Health Response to **Contain** Novel or Targeted Multidrug-resistant Organisms (MDROs)





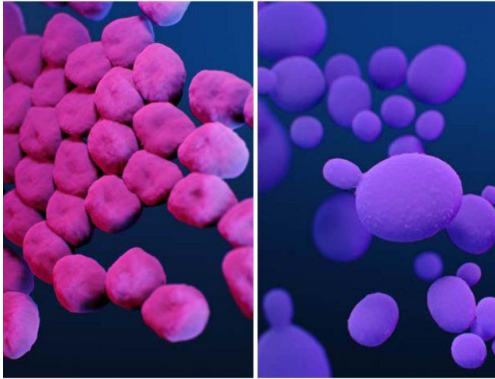
Updated December 2022



Centers for Disease Control and Prevention  
National Center for Emerging and Zoonotic Infectious Disease

## Public Health Strategies to **Prevent** the Spread of Novel and Targeted Multidrug-resistant Organisms (MDROs)

Accessible Link: <https://www.cdc.gov/hai/mdro-guides/prevention-strategy.html>



Centers for Disease Control and Prevention  
National Center for Emerging and Zoonotic Infectious Disease



<https://www.cdc.gov/hai/mdro-guides/index.html>

# Rapid Response to Rare Resistance: Containment Strategy

**Goal: identify new resistance and control transmission**

- **Aggressive, systematic response to  $\geq 1$  case of targeted organisms – responding at first spark to stop transmission** 🔥





# Containment Response Elements

		Tier 1	Tier 2	Tier 3	Tier 4
<b>Healthcare investigation</b>	Review the patient's healthcare exposures prior to and after the positive culture	30 days	30 days	Current admission/ sometimes prior admission	Prioritize Prevention; Containment principles generally do not apply
<b>Contact investigation</b>	Screen healthcare roommates				
	Screen additional healthcare contacts				
	Screen household contacts				
	Screen healthcare personnel				
<b>If transmission identified</b>	Repeat point prevalence survey (PPS) at regular intervals if cases identified*				
	Evaluate potential for spread to linked facilities				
<b>Clinical surveillance</b>	Prospective laboratory surveillance				
	Retrospective laboratory				
<b>Environmental cultures</b>	Environmental Sampling				
<b>Ensure adherence to IPC</b>	Infection control assessment w/ observations of practice				

\*Periodic (e.g., every two weeks) response-driven PPS should be conducted until transmission is controlled, defined as two consecutive PPS with no new cases identified or, in facilities with high colonization pressure, substantially decreased transmission. If high levels of transmission persist across multiple point prevalence surveys in long term care settings, consider increasing the interval between surveys or temporarily pausing them while reassessing infection control and implementing interventions.

	<b>ALWAYS</b>
	<b>USUALLY</b>
	<b>SOMETIMES</b>
	<b>RARELY</b>

# CDC's MDRO Prevention Guidance

- **Developed for state, local, territorial, and tribal health departments working in close collaboration with partners**
- **Support development, implementation, and coordination of activities designed to prevent the spread of novel and targeted MDROs within a jurisdiction**
- **Intended to guide long-term, multi-year endeavors**
- **Applies at all stages of spread, from pre-introduction to endemicity**

# Prevention Guidance

<b>Section I. Preparing to Implement an MDRO Prevention Plan</b>	<ul style="list-style-type: none"><li>• Determining the MDRO(s) that will be the focus</li><li>• Risk stratifying healthcare facilities within the jurisdiction</li><li>• Prioritizing where to begin implementation</li><li>• Evaluating jurisdictional laboratory capacity and surveillance</li><li>• Defining outcome and process measures</li></ul>
<b>Section II. Elements of an MDRO Prevention Plan</b>	<ul style="list-style-type: none"><li>• Providing education</li><li>• Improving general IPC practices</li><li>• Detecting colonized individuals</li><li>• Facilitating communication</li></ul>

# **Collaboration Between Public Health and Healthcare Facilities**

# Key Principles of Combatting MDRO Spread In Healthcare Settings

- **Identify as many people as possible who are infected or colonized with Multidrug-Resistant Organisms**

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- **Have good baseline IPC practices and use recommended infection control practices for people with Multidrug-Resistant Organisms in healthcare facilities**
- **Communicate at transfer to other facilities which people have Multidrug-Resistant Organism (s)**

# Identification of Novel or Targeted MDROs

- **Report and submit MDRO isolates to Public Health**
  - May include:
    - Carbapenem-Resistant Enterobacterales
    - Carbapenem-Resistant Acinetobacter
    - Carbapenem-Resistant *Pseudomonas aeruginosa*



# Identification of Novel or Targeted MDROs

- **Colonization screening: Using a swab to sample body sites to determine if that person has the MDRO of interest (i.e., colonized but not infected)**
  - Point prevalence survey
  - Admission screening
  - Discharge screening
- **Colonization screening is available through CDC's AR Lab Network**

# Infection Prevention and Control (IPC) Practices

- **Identify where gaps in your IPC practices exist and work on them before you have a problem**
- **Four core IPC practices to limit the spread of MDROs**
  - Hand Hygiene
  - Standard Precautions
  - Appropriate Transmission Based Precautions
  - Environmental Cleaning and Disinfection
    - Sink hygiene: [Reduce Risk from Water | HAI | CDC](#)

# CDC Infection Control Assessment and Response (ICAR) Tools

- Standardized tools to assess infection prevention programs
- Designed for use by health departments
  - Non-punitive
  - Used for prevention or response

## Infection Prevention and Control Assessment Tool for Acute Care Hospitals

This tool is intended to assist in the assessment of infection control programs and practices in acute care hospitals. If feasible, direct observations of infection control practices are encouraged. To facilitate the assessment, health departments are encouraged to share this tool with hospitals in advance of their visit.

### Overview

**Section 1: Facility Demographics**

**Section 2: Infection Control Program and Infrastructure**

**Section 3: Direct Observation of Facility Practices (optional)**

**Section 4: Infection Control Guidelines and Other Resources**

### Infection Control Domains for Gap Assessment

- I. Infection Control Program and Infrastructure
- II. Infection Control Training, Competency, and Implementation of Policies and Practices
  - A. Hand Hygiene
  - B. Personal Protective Equipment (PPE)
  - C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)
  - D. Prevention of Central Line-associated Bloodstream Infection (CLABSI)
  - E. Prevention of Ventilator-associated Event (VAE)
  - F. Injection Safety
  - G. Prevention of Surgical Site Infection
  - H. Prevention of *Clostridium difficile* Infection (CDI)
  - I. Environmental Cleaning
  - J. Device Reprocessing
- III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs)

# Interfacility Communication

- **Assess your current communication practices**
- **What can be done to improve them as individual facilities?**
- **What can be done to improve them as a region of facilities?**
- **CDC interfacility transfer form:**
  - <https://www.cdc.gov/hai/pdfs/toolkits/Interfacility-IC-Transfer-Form-508.pdf>

# Summary

- **Many of the most concerning MDROs are primarily associated with healthcare settings**
- **A single resistance phenotype can have multiple underlying mechanisms that vary in risk of spread**
- **Healthcare facilities are connected: what you do in your facility has implications for the entire region**
- **Improving identification of CPOs, detection of colonized individuals, improved IPC practices, and communication across healthcare facilities can slow spread of emerging resistance**

# Four Things You Can Do At Your Facility

- **Understand your clinical laboratory's ability to identify CRE, CRAB, and CRPA using current CLSI breakpoints**
- **Understand the availability of carbapenemase mechanism testing at your clinical laboratory or State Public Health Laboratory**
- **Connect with your State Public Health Department to understand the local epidemiology of CPOs**
- **Ensure that when CRE, CRAB, or CRPA are identified that appropriate IPC measures are implemented**

# Thank you!

For more information, contact CDC  
1-800-CDC-INFO (232-4636)  
TTY: 1-888-232-6348 [cdc.gov](https://www.cdc.gov)

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the U.S. Centers for Disease Control and Prevention.

