



Carolyn Desper, Jennifer Kelley, Michele Twiss  
Surveyors  
HHS Health Facilities Unit

701-328-2352 | [cdesper@nd.gov](mailto:cdesper@nd.gov), [jekelley@nd.gov](mailto:jekelley@nd.gov), [mdtwiss@nd.gov](mailto:mdtwiss@nd.gov)

NORTH  
**Dakota**  
Be Legendary.

Health & Human Services

# Objectives

- Participants will gain a better understanding of regulations related to infection prevention.
- Participants will learn what the surveyors review during survey for infection prevention.
- Participants will learn the most common finding related to infection prevention deficiencies.

# Infection Prevention and Control Regulations

All provider types are surveyed for components of infection prevention and control.

Today we'll speak specifically about Long Term Care Facilities, Ambulatory Surgery Centers, End Stage Renal Disease Centers, and Hospitals.

# Infection Prevention and Control Regulations Long Term Care and Hospitals



- **Overall Infection Prevention and Control Program**
- **Antibiotic Stewardship Program**
- **Infection Preventionist Qualifications/Role**
- **Immunizations**
- **Food Procurement, Store/Prepare/Serve – Sanitary**

# Infection Prevention and Control Task in LTC and Hospitals

## What are we looking at?

**Observe direct resident/patient care**

**Observe facility departments**

**Interview residents, patients, and staff**

**Review policies and procedures**

**Review resident and patient records**

**Review staff training records**





# Common Examples of Non-Compliance in LTC and Hospitals



- Failure to perform hand hygiene prior to or after glove removal.
- Failure to perform hand hygiene after PPE removal.
- Failure to disinfect reusable shared equipment.

# Common Examples of Non-Compliance in LTC and Hospitals

- Failure to use appropriate PPE.
- Failure to follow manufacturer's instructions for mixing high level disinfectants.
- Failure to clean rubber stoppers of medication vials/pens prior to drawing up medications.
- Failure to store medical supply items in a manner to prevent contamination.





# The Importance of Hand Hygiene After Glove Removal





# EBP

# Enhanced Barrier Precautions





# ACRONYMS!!

Seeing as how the V.P. is such a V.I.P., shouldn't we keep the P.C. on the Q.T.?

'Cause of the leaks to the V.C. he could end up M.I.A., and then we'd all be put out in K.P."

- Robin William, Good Morning Vietnam, @ 1987



# WYH?



## WYH?

## Why?

# Wash Your Hands!

# WHY EBP, WHEN, and WHAT?

## MDROs

MRSA

VRE

ESBL

Candida Auris

CRE

Enhanced barrier precautions –EBP

- Control the spread or outbreaks
- PPE during high contact cares



# WHEN?

---

## High Contact Cares:

- Assisted transfers
- Making beds
- Handling linens
- Assisting with dressing
- Wound care
- Toileting care
  - Catheters
  - Bathroom assistance
  - Incontinence Cares



# Personal Protective Equipment - PPE

---

- Gown
- Gloves
- Face Mask (Airborne)?



# Infection Prevention and Control Regulations End Stage Renal Disease Facilities

- 29 Regulations related to infection control for dialysis.
- CDC documents are incorporated into the ESRD Infection Control regulations.
- In addition to IC regulations, there are regulations regarding water and dialysate which require close monitoring for bacterial growth.



# End Stage Renal Disease Facilities

## What are we looking at?

- **Observation of Hemodialysis Care and Infection Control**
- Significant portion of the survey process.
- Surveyors use 9 different observation checklists for infection control.
- Surveyors watch two observations of each task on the checklists.
- If there is a hepatitis B positive patient, surveyors will review isolation practices.





# End Stage Renal Disease Facilities CMS Checklists:

1. Initiation of Dialysis with Central Venous Catheter
2. Central Venous Catheter Exit Site Care
3. Discontinuation of Dialysis with Central Venous Catheter
4. Access of AV Fistula or Graft for Initiation of Dialysis
5. Discontinuation of Dialysis and Post dialysis Access Care for AV Fistula and Graft
6. Cleaning and Disinfection of the Dialysis Station
7. Preparation of the Hemodialysis Machine/Extracorporeal Circuit
8. Parenteral Medication Preparation and Administration
9. Dialysis Supply Management and Contamination Prevention

# End Stage Renal Disease Facilities



- The CDC also has dialysis checklists - similar content to the CMS infection control checklists.
- Checklists and Audit tools available on the CDC website.

# Common Examples of Non-Compliance in ESRD Facilities



- Failing to wear a mask and/or gown during CVC site dressing changes.
- Failing to remove gloves and perform HH after removing soiled CVC dressing and prior to applying new dressing.
- Failure to disinfect dialysis equipment properly
- Failure to perform HH after touching contaminated surfaces in the station and prior to working with the patient's access site.
- Failure to prepare disinfectant solutions according to manufacturer's instructions (improper concentration).



# Kitchen

Effective procedures for cleaning all equipment and work areas must be developed and consistently followed





# Compliance Tips

## Dishwashing Machines

Make sure the machine is sanitizing the dishes properly.

## Cooling of Food

Cool from 135 degrees F to 70 degrees in the first 2 hours.



# Compliance Tips

## Employee Health

### Personal Hygiene:

- Hand Washing
- Proper glove use
- Hair restraints





# Environmental Services



**Housekeeping**

**Laundry**

**Maintenance**



# Ambulatory Surgical Centers (ASC)

Cleanse the diaphragm of the vial before drawing up medication.

Assure the cleanliness of the OR suite.

A policy regarding mandatory reporting of reportable conditions to the Department of Health and Human Services, disease control.



# Surveyor considerations

---

Surveyors follow the “Ambulatory Surgical Center (ASC) Infection Control Surveyor Worksheet”.



# Surveyor considerations

---

- Observation
- Application of best practices
- Questions
- Facility Policy



# **ND HHS Public Health Division, Health Response and Licensure, Health Facilities Unit**



**Tim Wiedrich, Director Health Response and Licensure Section**  
**Bridget Weidner, Director Health Facilities**  
**Kelly Beechie and Annie Skattum, Program Managers**

1720 Burlington Dr., Bismarck, N.D. 58504  
(701) 328-2352

# <https://services.ndhhs.gov/>



## HAVE A CONCERN?

[Click Here to Report a Concern to the Health Facilities Unit](#)



## ONLINE SERVICES

See our Online Services.

[See Services](#)



## CONTACT US

Contact the Health Facilities Unit

# Questions?

