

University of North Dakota

Request for Modification to a Sponsored Project

PI Name _____

UND Project # _____

Sponsor Name _____

Sponsor Award # _____

Contact Email _____

TYPE OF MODIFICATION

ONLY COMPLETE FORM IF PRIOR APPROVAL IS REQUIRED BY SPONSOR

[select one only - use separate form for each modification]

PI/Co-PI/Personnel Change*

*Does a conflict of interest exist between the new personnel and the sponsor? No Yes

*If yes, conflict must be disclosed to UND's
Conflict of Interest Coordinator*

Scope of Work

Describe change below or
attach a separate document.

Budget Modification

Includes cost share and/or cost share
contributors.

Carry Forward Unexpended Balance

Estimate of funds remaining \$ _____

Provide a short technical description of why modification is requested. The proper course of action will be determined by the terms and conditions of the award with the Sponsor.

APPROVAL(s): By signing this form, I affirm that all required technical reports are up-to-date and complete.

Principal Investigator(s)

Name _____

Signature _____

Date _____

Please print

Name _____

Signature _____

Date _____

Please print

Supervisor (Department Chair/Director/etc.)

Name _____

Signature _____

Date _____

Please print

Name _____

Signature _____

Date _____

Please print

College/School Dean

Name _____

Signature _____

Date _____

Please print

Do not write below this line. RSPD Office use only.

RSPD ACTION: Approved Disapproved

Agency approval required: NSF NIH ERA Commons Other

RSPD Designee Signature _____

Date _____

Request for Modification to a Sponsored Project Form Instructions

If there will be changes to an awarded project, a Request for Modification form must be completed and forwarded to the Research and Sponsored Program Development (RSPD) office. RSPD will review the request and determine what action is needed- which may include a contract modification with the sponsor. Only submit a form when sponsor approval is required. Questions about the Request for Modification form can be directed to the RSPD office.

UND Project Number, PI Name, Sponsor Name and Award Number

This information is available on the monthly financial statements available from your departmental administrators.

Type of Modification

Check only one type of modification on each form and provide a short technical description of why the modification is necessary. If there is more than one type of modification for your project, submit a separate request form for each. The types of modifications are:

- **PI/Co-PI/Personnel Change**
Select this option if key personnel are being added to or removed from the project. If the PI is leaving the University and would like the project to remain at the University under the direction of a new PI, the new PI must sign the Request for Modification form and attach a current biographical sketch. The proposed new PI must also answer the conflict of interest question incorporated on the form.
- **Scope of Work**
Select this option when significant changes to the approved work plan are anticipated.
- **Budget Modification**
Select this option if significant project expenditures do not or will not fall within the lines of the approved budget. Common causes for this type of modification are: changes to the amount of salary a PI or co-PI may draw, changes in cost share amounts and/or contributors, unbudgeted purchases of equipment and unbudgeted international travel. Provide a budget worksheet that indicates the requested changes or consult with RSPD for assistance with the budget adjustment.
- **Carry Forward Unexpended Balance**
Select this option to request, if on a multi-year project, the transfer of unexpended funds from the end of one budget period to the next budget period. This may not be available for all projects
- **Approvals:**
By signing the Request for Modification Form, it affirms that all required technical reports are up to date and complete. After all approvals are secured, forward the form to the RSPD office.

Principal Investigator(s) – All requests must be signed by the PI.

Supervisor (Department Chair/Director/etc.) – All requests must be signed by the PI's supervisor.

College/Unit – Approves all requests where the Dean is supervisor of the PI, and all grant transfer requests.